

YOU ARE RECEIVING THIS NOTICE BECAUSE THE TRUSTEE IS OBJECTING TO YOUR CLAIM. IF YOU DO NOT RESPOND BY FEBRUARY 26, 2020 YOUR CLAIM MAY BE REDUCED, MODIFIED OR ELIMINATED.

UNITED STATES BANKRUPTCY COURT
NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION

In re:) Chapter 7
)
PEREGRINE FINANCIAL GROUP, INC.,) Case No. 12-27488
)
)
) Honorable Judge Carol A. Doyle
Debtor.)
) **Hearing Date: March 4, 2020**
) **Hearing Time: 10:00 a.m.**

NOTICE OF THE TRUSTEE'S THIRTY-THIRD OMNIBUS OBJECTION TO CERTAIN DUPLICATE EMPLOYEE CLAIMS AND RELATED HEARING

PLEASE TAKE NOTICE that on **Wednesday, March 4, 2020 at 10:00 a.m.**, or as soon thereafter as counsel may be heard, we shall appear before the Honorable Carol A. Doyle in Courtroom 742 in the United States Courthouse, 219 South Dearborn Street, Chicago, Illinois, or before any other judge who may be sitting in her place and stead, and present the attached **Trustee's Thirty-Third Omnibus Objection to Certain Duplicate Employee Claims**, at which time and place you may appear if you so desire.

Specifically, the Trustee's Thirty-Third Omnibus Objection seeks to eliminate or modify your claim(s) in the manner listed below:

Personalized Claim Information Here

Your claim may be reduced, modified, or eliminated. You should read these papers carefully and discuss them with your attorney, if you have one. If you do not want the Court to eliminate or change your claim, then you or your lawyer should file a written response to the Trustee's Thirty-Third Omnibus Objection in accordance with the response procedures set forth below. **If you do not object to the relief requested, an order will be presented to the Court and the relief requested may be granted.**

Every response to this objection must contain, at a minimum, the following information:

- a. a caption setting forth the name of the Court, the name of the Debtor, the case number, and the title "Response of «clmCredName» to Claim Objection;"
- b. the name of the claimant and description of the basis for the amount of the claim;
- c. a concise statement setting forth the reasons why the claim should not be disallowed or modified as set forth in the Objection, including, but not limited to, the specific factual and legal bases upon which the claimant will rely in opposing the Objection;
- d. a copy of all documentation or other evidence of the claim, to the extent not attached to the filed proof of claim, upon which the claimant will rely in opposing the Objection;
- e. the address(es) to which the Trustee must return any reply to the Response, if different from that presented in the claim; and
- f. the name, address, and telephone number of the person (which may be the claimant or a legal representative) possessing ultimate authority to reconcile, settle, or otherwise resolve the claim on behalf of the claimant.

PLEASE TAKE FURTHER NOTICE that any response must be **filed** with the Court at U.S. Bankruptcy Court, Dirksen Federal Building, 219 S. Dearborn St., Room 713, Chicago, IL 60604 (or through the Court's electronic filing service if you have retained an attorney) by **February 26, 2020**, and served by such date on counsel to the Trustee, Fox Rothschild LLP, 321 North Clark Street, Suite 1600, Chicago, Illinois 60654, Attn: Christina M. Sanfelippo, Esq.

Respectfully submitted,

Ira Bodenstein, not personally, but as chapter 7 trustee for the estate of Peregrine Financial Group, Inc.

Dated: January 30, 2020

By /s/ Christina M. Sanfelippo
One of his attorneys

Robert M. Fishman (#3124316)
Allen J. Guon (#6244546)
Christina M. Sanfelippo (#6321440)
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UNITED STATES BANKRUPTCY COURT
NORTHERN DISTRICT OF ILLINOIS
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In re:) Chapter 7
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PEREGRINE FINANCIAL GROUP, INC.,) Case No. 12-27488
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) Honorable Judge Carol A. Doyle
Debtor.)
) **Hearing Date: March 4, 2020**
) **Hearing Time: 10:00 a.m.**

**TRUSTEE’S THIRTY-THIRD OMNIBUS
OBJECTION TO CERTAIN DUPLICATE EMPLOYEE CLAIMS**

Ira Bodenstein, not personally, but as chapter 7 trustee (“Trustee”) for the estate of Peregrine Financial Group, Inc. d/b/a PFG Best (“Debtor”) hereby files this thirty-third omnibus objection (“Objection”) to those claims (the “Disputed Claims”) listed on Exhibit A (the “Exhibit”), and requests the entry of an order, substantially in the form attached hereto (“Proposed Order”), granting the relief requested herein. In support of this Objection, the Trustee respectfully states as follows:

Jurisdiction

1. On July 10, 2012 (“Petition Date”), the Debtor filed a voluntary petition for relief under chapter 7 of the Bankruptcy Code, 11 U.S.C. § 101, *et seq.* commencing the above captioned case (“Case”). Ira Bodenstein is the duly appointed chapter 7 trustee of the Debtor’s estate (“Estate”).

2. This Court has jurisdiction to consider this Objection pursuant to 28 U.S.C. §§ 157 and 1334. This is a core proceeding pursuant to 28 U.S.C. § 157(b). Venue is proper in this Court pursuant to 28 U.S.C. §§ 1408 and 1409.

3. The statutory bases for the relief requested herein are sections 502 of chapter 11 of the United States Code (“Bankruptcy Code”) and Rules 3001, 3007 and 9014 of the Federal Rules of Bankruptcy Procedure (“Bankruptcy Rules”).

Background

4. By order dated September 26, 2012 (“First Bar Date Order”), this Court fixed November 16, 2012, as the last day to file a proof of claim in this Case (“Original Bar Date”) for all the Debtor’s customers and creditors and January 11, 2013 (“Governmental Bar Date”) as the last day to file a proof of claim in this Case for all governmental units (as defined in 11 U.S.C. § 101(27)).

5. In accordance with the First Bar Date Order, notice of the Original Bar Date and the Governmental Bar Date and customer and general creditor claim forms were served on all known claimants. (*See* Certificate of Service, dated October 2, 2012 at Dkt. # 234.)

6. By order dated November 14, 2012 (“General Bar Date Order”), this Court extended the Original Bar Date to December 14, 2012 (“General Bar Date”). (Dkt. # 281.)

7. By order dated January 23, 2013 (“Affected Creditor Bar Date Order”), the Court established March 15, 2013 (“Affected Creditor Bar Date”), as the last date for claimants who were first identified on the Debtor’s Amendment to Schedule F filed on January 18, 2013 to timely file proofs of claim. (Dkt. # 371.)

8. On or around January 25, 2013, the Trustee served notice of the Affected Creditor Bar Date to all known claimholders. (*See* Certificate of Service at Dkt. # 401.)

9. To date, approximately 14,150 Proofs of Claim (“Proofs of Claim”) have been filed in this Case. The Proofs of Claim are recorded on the official claims register (“Claims Register”) maintained by Rust-Omni (“Claims Agent”), the notice and claims agent retained by the Trustee in this Case.

Relief Requested

10. By this Objection, the Trustee (a) objects to the Disputed Claims and (b) requests entry of an order pursuant to section 502 of the Bankruptcy Code and Bankruptcy Rules 3001, 3007, and 9014, substantially in the form of the Proposed Order attached hereto.

Basis for Objection

11. Section 502(b) of the Bankruptcy Code provides in pertinent part: [i]f such objection to a claim is made, the court, after notice and a hearing, shall determine the amount of such claim in lawful currency of the United States as of the date of the filing of the petition, and shall allow such claim in such amount, except to the extent that— (1) such claim is unenforceable against the debtor and property of the debtor, under any agreement or applicable law for a reason other than because such claim is contingent or unmatured... 11 U.S.C. § 502(b)(1).

12. When asserting a proof of claim against a bankrupt estate, a claimant must allege facts that, if true, would support a finding that the debtor is legally liable to the claimant. *In re Pringle Engineering and Mfg., Co.*, 164 F.2d 299, 302 (7th Cir. 1947); *Matter of Int'l Match Corp.*, 69 F.2d 73, 76 (2d Cir. 1934) (finding that a proof of claim should at least allege facts from which legal liability can be seen to exist). Where the claimant alleges sufficient facts to support its claim, its claim is afforded prima facie validity. *In re Carlson*, 126 F.3d 915, 921-22 (7th Cir. 1997). A party wishing to dispute such a claim must produce evidence in sufficient force to negate the claim's prima facie validity. *Id.* In practice, the objecting party must produce evidence that would refute at least one of the allegations essential to the claim's legal sufficiency. *Id.* Once the objecting party produces such evidence, the burden shifts back to the claimant to prove the validity of his or her claim by a preponderance of the evidence. *Id.*

A. Duplicate Claims

13. The Trustee objects to certain employee-related claims that assert duplicate claims against the Debtor for a single liability (“Duplicate Claims”). The Duplicate Claims assert a basis for liability that is identical to and duplicative of that asserted in claim number 1235 (the “Allowed Class Claim”), filed by Ronald Kotulak (“Class Representative”), as Class Representative of the certified class of certain former employees of the Debtor and/or its affiliates (the “Class Members”).

14. Pursuant to the *Trustee’s Joint Motion For Entry Of An Order (I) Preliminarily Approving Settlement Resolving WARN Act Claims And Claims Under The Illinois Wage Payment And Collection Act And The Iowa Wage Payment Collection Act; (II) Approving The Form And Manner Of Notice To Class Members Of The Proposed Settlement; And (III) Scheduling A Final Fairness Hearing For Approval Of The Settlement Under Fed. R. Bankr. P. 9019 And 7023* [Dkt. No. 5483] (the “Settlement Motion”)¹ and the *Final Order (A) Approving The Settlement Under Fed. Bankr. R. 7023 And Fed. Bankr. R. 9019; And (B) Approving Class Counsel’s Fees And Expenses* [Dkt. No. 5506] (the “Settlement Order”), the Court allowed the Allowed Class Claim as an unsecured priority claim, pursuant to 11 U.S.C. §§ 507(a)(4) and (5), in full and total satisfaction of all claims of the Class Members related to the allegations asserted therein and the termination of the Class Members’ employment with the Debtor and/or its affiliates.

15. As set forth in the Settlement Motion, since the Allowed Class Claim fully and totally satisfies all such claims of the Class Members, the Class Members’ proofs of claim are to be disallowed as duplicative. (*See Mot.*, ¶23.b.) Copies of the Settlement Motion (including the

¹ All capitalized terms not defined herein shall have the meaning ascribed to them in the Settlement Motion.

list of proofs of claim to be disallowed²), the Settlement Order, and the Proposed Distribution of Settlement Fund, can be found on the Trustee's Claims Agent's website at: <https://cases.omniagentsolutions.com/?clientId=CsgAAncz%252b6Y6BvbWWv8%252bb36Dj%252fdJZopCTH%252fUoH71miODakPIzfeAY2Jz9cbvsKRVwkWisq%252b56Os%253d#0>.

Since a claimholder is not entitled to multiple recoveries for a single liability against a debtor, the Duplicate Claims do not represent valid claims against the Debtor. *See, e.g., In re Handy Andy Home Improvement Ctrs., Inc.*, 222 B.R. 571, 575 (Bankr. N.D. Ill. 1988) (“[I]t is axiomatic that one cannot recover for the same debt twice.”).

16. Exhibit A contains the Duplicate Claims filed against the Debtor for a liability that is identical to and duplicative of that asserted in the Allowed Class Claim. Pursuant to § 502(b) of the Bankruptcy Code and Bankruptcy Rule 3007, the Trustee (a) objects to the Duplicate Claims and (b) seeks entry of the Proposed Order disallowing the Duplicate Claims in their entirety.

Separate Contested Matters

17. To the extent that a response is filed regarding any Disputed Claim and the Trustee and claimant are unable to resolve the response, each such Disputed Claim, and the objection by the Trustee to each such Disputed Claim asserted herein, shall constitute a separate contested matter as contemplated by Bankruptcy Rule 9014. Any order entered by the Court

² Included on the list is the proof of claim, claim number 11679, filed by M. Larry Lefoldt, CPA, the independent fiduciary (“Independent Fiduciary”) of the Debtor's Defined Contribution Plan and Trust (the “401(k) Plan”), on behalf of all employees that were entitled to receive contributions from the Debtor's 401(k) Plan. Originally, the Trustee intended to allow the Independent Fiduciary Claim as a priority claim under section 507(a)(5) and then task the Independent Fiduciary with distributing any available funds to 401(k) Plan participants identified in the Independent Fiduciary Claim. However, the Independent Fiduciary Claim was resolved as part of the Trustee's settlement with the Class Representative and Class Members.

regarding an objection asserted in the Objection shall be deemed a separate order with respect to each claim.

Responses to Objection

18. On May 10, 2013, this Court granted the Order Granting Trustee's Motion to Authorize Omnibus Objections to Claims and Approve Claim Objection Response Procedures ("Procedures Order") (Dkt. # 822.) As set forth in the Procedures Order and in each Notice of this Objection sent to holders of Disputed Claims to contest this Objection, each holder of a Disputed Claim must file and serve a written response to this Objection (each, a "Response") so that it is received no later than February 26, 2020 (the "Response Deadline").

19. Every Response to this Objection must contain, at a minimum, the following information:

- a. a caption setting forth the name of the Court, the name of the Debtor, the case number, and the title "Response of [Claimant Name] to Claim Objection;"
- b. the name of the claimant and description of the basis for the amount of the claim;
- c. a concise statement setting forth the reasons why the claim should not be disallowed or modified as set forth in the Objection, including, but not limited to, the specific factual and legal bases upon which the claimant will rely in opposing the Objection;
- d. a copy of all documentation or other evidence of the claim, to the extent not attached to the filed proof of claim, upon which the claimant will rely in opposing the Objection;
- e. the address(es) to which the Trustee must return any reply to the Response, if different from that presented in the claim; and
- f. the name, address, and telephone number of the person (which may be the claimant or a legal representative) possessing ultimate authority to reconcile, settle, or otherwise resolve the claim on behalf of the claimant.

20. If a claimant fails to file and serve a timely Response by the Response Deadline, the Trustee shall present the Proposed Order to the Court granting the relief requested herein without further notice or a hearing.

Replies to Responses

19. The Trustee may, at his option, file and serve a reply to a claimant's Response no later than March 3, 2020 or, to the extent the hearing on this Objection, or any portion thereof, is adjourned, by no later than one (1) day prior to the day of the adjourned hearing.

Reservation of Rights

20. The Trustee hereby reserves the right to object in the future to any of the Disputed Claims listed in this Objection or on Exhibit A attached hereto on any ground, and to amend, modify and/or supplement this Objection, including, without limitation, to object to amended or newly-filed claims. Separate notice and hearing will be provided and scheduled, respectively, for any such objection.

21. Notwithstanding anything contained in this Objection or the attached exhibits, nothing herein shall be construed as a waiver of any rights that the Trustee may have: (a) to bring avoidance actions under the applicable sections of the Bankruptcy Code against the holders of claims subject to the Objection; or (b) to exercise their rights of setoff against the holders of such claims relating to such avoidance actions.

Notice

22. The Trustee has provided notice of this Objection in accordance with Bankruptcy Rule 3007 and the Order Granting Trustee's Motion to Authorize Omnibus Objections to Claims and Approve Claim Objection Response Procedures. The Trustee also provided notice of this Objection to Class Counsel. In light of the nature of the relief requested, the Trustee respectfully submits that no further notice is necessary.

WHEREFORE, for the reasons set forth herein, the Trustee respectfully requests that the Court enter an order, substantially in the form annexed hereto, granting the relief requested herein, and granting such other and further relief as the Court deems just and proper.

Respectfully submitted,

Ira Bodenstein, not personally, but as chapter 7 trustee for the estate of Peregrine Financial Group, Inc.

Dated: January 30, 2020

By /s/ Christina M. Sanfelippo
One of his attorneys

Robert M. Fishman (#3124316)
Allen J. Guon (#6244546)
Christina M. Sanfelippo (#6321440)
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Chicago, IL 60654
Phone: (312) 517-9200
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EXHIBIT A

PEREGRINE FINANCIAL GROUP, INC.
 CASE NO. 12-27488

DUPLICATE CLAIMS

Claimant	Duplicate Claim to be Disallowed	Duplicate Claim Amount/Class	Reason for Disallowance
1 NOREEN GALLERY 1555 N. SANDBURG TERRACE UNIT 405 CHICAGO, IL 60610	8955	\$5,962.10 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
2 PATRICIA K. CAMPBELL 1960 N. LINCOLN PARK WEST #1004 CHICAGO, IL 60614	11130	\$8,982.96 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
3 PATRICIA K. CAMPBELL C/O OUTTEN & GOLDEN LLP ATTN: JACK A. RAISNER, RENE S. 3 PARK AVE., 29TH FLOOR NEW YORK, NY 10016	13494	\$11,725.00 PRI \$19,096.92 UNS	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
4 PATRICK B. MULCAHY 7 SECATOGUE LANE EAST WEST ISLIP, NY 11795	9263	\$11,725.00 PRI \$11,052.93 UNS	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
5 PAUL J. MORAN 2213 FLAMBEAU DRIVE NAPERVILLE, IL 60564	4897	\$4,051.72 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
6 PAUL K. FORCHIONE P.O. BOX 1594 OJAI, CA 93024	6392	\$9,520.13 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.

* - See Claim Class Code at the end of this report

** - "No Amount Given" includes, without limitation, Undetermined, Unliquidated, Unknown, To be Determined or the like or when no amount is listed at all

PEREGRINE FINANCIAL GROUP, INC.
 CASE NO. 12-27488

DUPLICATE CLAIMS

Claimant	Duplicate Claim to be Disallowed	Duplicate Claim Amount/Class	Reason for Disallowance
7 PAUL MORAN C/O OUTTEN & GOLDEN LLP ATTN: JACK A. RAISNER, RENE S. 3 PARK AVE., 29TH FLOOR NEW YORK, NY 10016	13521	\$11,725.00 PRI \$4,713.36 UNS	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
8 PAUL NATHAN GILKERSON 3524 N. MARSHFIELD #3B CHICAGO, IL 60613	3905	\$5,206.52 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
9 REBECCA J. WING 401K 5543 FAIRMOUNT AVE. DOWNERS GROVE, IL 60516	4339	\$2,721.52 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
10 RICHARD C. SCHACK 273 EDGEWOOD AVE. OAKDALE, NY 11769	9819	\$8,818.57 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
11 ROBERT C. PARK 200 NORTH CHASE COURT ALTAMONTE SPRINGS, FL 32714	11097	\$2,543.56 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
12 ROBERT MULCAHY 44 WOHSEEPPEE DR BRIGHTWATERS, NY 11718	5755	\$11,725.00 PRI \$7,232.10 UNS	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.

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 CASE NO. 12-27488

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Claimant	Duplicate Claim to be Disallowed	Duplicate Claim Amount/Class	Reason for Disallowance
13 ROBIN ROSENBERG 4542 CONCORD LANE NORTHBROOK, IL 60062	2502	\$11,725.00 PRI \$20.00 UNS	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
14 RONALD J. KOTULAK C/O OUTTEN & GOLDEN LLP ATTN: JACK A. RAISNER, RENE S. 3 PARK AVE., 29TH FLOOR NEW YORK, NY 10016	13499	\$11,301.37 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
15 RYAN SCHIFF 645 N. PARK BLVD. GLEN ELLYN, IL 60137	3909	\$11,725.00 PRI \$113,780.75 UNS	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
16 RYAN SCOTT PETERSON 26W115 HAZEL LN WHEATON, IL 60187	6384	\$11,725.00 PRI \$19,162.32 UNS	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
17 SAMANTHA SCHMIESING 1614 BROOKSIDE DRIVE CEDAR FALLS, IA 50613	4397	\$1,485.13 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
18 SARA KIES 1607 QUAIL RUN LANE CEDAR FALLS, IA 50613	1411	\$2,900.74 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.

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PEREGRINE FINANCIAL GROUP, INC.

CASE NO. 12-27488

DUPLICATE CLAIMS

Claimant	Duplicate Claim to be Disallowed	Duplicate Claim Amount/Class	Reason for Disallowance
19 SARAH K. JUDISCH C/O OUTTEN & GOLDEN LLP ATTN: JACK A. RAISNER, RENE S. 3 PARK AVE., 29TH FLOOR NEW YORK, NY 10016	13501	\$7,397.26 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
20 SARAH KATHERINE JUDISCH 2314 WEST BREMER AVE WAVERLY, IA 50677	5789	\$2,082.31 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
21 SCOTT ADKINS 4129 SPRUCE HILLS DR. CEDAR FALLS, IA 50613	13529	\$7,753.92 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
22 SCOTT RING C/O OUTTEN & GOLDEN LLP ATTN: JACK A. RAISNER, RENE S. 3 PARK AVE., 29TH FLOOR NEW YORK, NY 10016	13495	\$6,575.34 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
23 SHAOYONG CHEN 61 W. 15TH ST., APT 805 CHICAGO, IL 60605	7727	\$2,858.56 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
24 SHAUN LEISTIKOW 415 W. 7TH STREET CEDAR FALLS, IA 50613	13833	\$2,727.22 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.

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Claimant	Duplicate Claim to be Disallowed	Duplicate Claim Amount/Class	Reason for Disallowance
25 SKYLAR DORR 1470 SOUTH 51ST STREET WEST DES MOINES, IA 50265	12343	\$205.00 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
26 SKYLAR DORR C/O OUTTEN & GOLDEN LLP ATTN: JACK A. RAISNER, RENE S. 3 PARK AVE., 29TH FLOOR NEW YORK, NY 10016	13508	\$3,904.11 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
27 STANLEY PREUSSER C/O OUTTEN & GOLDEN LLP ATTN: JACK A. RAISNER, RENE S. 3 PARK AVE., 29TH FLOOR NEW YORK, NY 10016	13497	\$11,718.75 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
28 STANLEY PREUSSER C/O OUTTEN & GOLDEN LLP ATTN: JACK A. RAISNER, ESQ. AND RENE 3 PARK AVE., 29TH FLOOR NEW YORK, NY 10016	13610	\$4,715.18 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
29 STEPHANIE CONRAD 1500 SOUTH SPRUCE AVENUE MOUNT PLEASANT, IA 52641	2312	\$210.00 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
30 STEVE PIETKIEWICZ C/O OUTTEN & GOLDEN LLP ATTN: JACK A. RAISNER, RENE S. 3 PARK AVE., 29TH FLOOR NEW YORK, NY 10016	13516	\$7,397.26 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.

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 CASE NO. 12-27488

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31 STEVEN J. ZAKRZEWSKI 7947 S. 56TH ST. FRANKLIN, WI 53132	367	\$11,725.00 PRI \$18,275.00 UNS	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
32 STEVEN M. SHEPHERD 2609 WEST SUPERIOR ST. CHICAGO, IL 60612	7798	\$4,773.95 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
33 STEVEN MAXFIELD 991 HIGBY DR. CEDAR FALLS, IA 50613	9578	\$1,145.83 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
34 TARA J. KANE 5570 WATTERS ROAD HUDSON, IA 50643	9730	\$1,039.10 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
35 TERESA GAERTNIER C/O OUTTEN & GOLDEN LLP ATTN: JACK A. RAISNER, RENE S. 3 PARK AVE., 29TH FLOOR NEW YORK, NY 10016	13507	\$9,000.00 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
36 TERRY NARLOCH C/O OUTTEN & GOLDEN LLP ATTN: JACK A. RAISNER, RENE S. 3 PARK AVE., 29TH FLOOR NEW YORK, NY 10016	13519	\$11,725.00 PRI \$4,713.36 UNS	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.

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37 THE ESTATE OF MICHAEL DALY ATTN: LINDA DALY 3840 N. PARIS AVENUE CHICAGO, IL 60634	10912	\$2,670.57 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
38 THOMAS J. SOUMBENIOTIS 9 LUCAS LANE CLARKSBURG, NJ 08510	7652	\$11,725.00 PRI \$12,594.45 UNS	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
39 TIM HANNAGAN 26127 S. RUBY ST. MONEE, IL 60449	6301	\$11,725.00 PRI \$5,707.27 UNS	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
40 TIMOTHY SCOTT DAVENPORT 3241 HARBOR BLVD OXNARD, CA 93035	81	\$5,073.15 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
41 TIMOTHY W. ALLEN 3602 W. 1ST ST. CEDAR FALLS, IA 50613	11405	\$3,490.15 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
42 TOBIAS C. KOEHN 1517 ALGOQUIN DR. CEDAR FALLS, IA 50613	6648	\$3,981.53 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.

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DUPLICATE CLAIMS

Claimant	Duplicate Claim to be Disallowed	Duplicate Claim Amount/Class	Reason for Disallowance
43 TUCKER A. MCKEEVER 2 FERNDAL ROAD MADISON, NJ 07940	2492	\$11,325.49 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
44 VAL SIGAEV 2225 TRAILSIDE LN. WAUCONDA, IL 60084-5014	3979	\$3,463.27 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
45 WASENDORF 401K PLAN C/O LEFOLDT & CO., P.A. ATTN: M. LARRY LEFOLDT, INDEPENDENT P.O. BOX 2848 RIDGELAND, MS 39158-2848	11679	\$114,107.86 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
46 WENDY ANN SWARENS 2136 WELLINGTON COURT NEW LENOX, IL 60451	4386	\$5,474.96 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
47 WILLIAM G. O'DONNELL 1645 W. SUMMERDALE AVE. #2 CHICAGO, IL 60640-2026	13089	\$11,725.00 PRI \$13,897.49 UNS	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
48 WILLIAM L. KRICKL 10520 S. KILDARE OAK LAWN, IL 60453	3815	\$11,725.00 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.

* - See Claim Class Code at the end of this report

** - "No Amount Given" includes, without limitation, Undetermined, Unliquidated, Unknown, To be Determined or the like or when no amount is listed at all

EXHIBIT A

PEREGRINE FINANCIAL GROUP, INC.
 CASE NO. 12-27488

DUPLICATE CLAIMS

Claimant	Duplicate Claim to be Disallowed	Duplicate Claim Amount/Class	Reason for Disallowance
49 WILLIAM N. GORDON 929 W. AINSLIE ST., #3 CHICAGO, IL 60640	10755	\$2,876.25 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
50 YEEMEE HO 641 E. PERSHING RD, UNIT 304 CHICAGO, IL 60653	383	\$1,646.76 PRI \$527.97 UNS	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.
51 YUKIKO MIKAMI KINNEY 4113 N. KEELER AVE #2A CHICAGO, IL 60641	8999	\$3,291.71 PRI	Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim.

Claim Class Code Legend

UNS	Unsecured Claim
PRI	Priority Claim
SEC	Secured Claim
503(b)(9)	503(b)(9) Claim
ADM	Administrative Claim
FUT	Futures Claim
FUT sub 4d	Futures Subordinate 4d Claim
FRX	Forex Claim
PPM	Physical Precious Metals Claim
PMD	Precious Metals Derivative Claim

UNITED STATES BANKRUPTCY COURT
NORTHERN DISTRICT OF ILLINOIS
Eastern Division

In Re:)
)
PEREGRINE FINANCIAL GROUP, INC.)
)
)
)
Debtor(s))
)

BK No.: 12-27488
Chapter: 7
Honorable Carol A. Doyle

**ORDER GRANTING TRUSTEE’S THIRTY-THIRD OMNIBUS OBJECTION
TO CERTAIN DUPLICATE EMPLOYEE CLAIMS**

Upon the Trustee’s Thirty-Third Omnibus Objection (“Objection”) to Certain Duplicate Employee Claims for entry of an order disallowing the Disputed Claims (capitalized terms not defined herein shall have the meaning ascribed to them in the Objection); it appearing that the Court has jurisdiction over this matter pursuant to 28 U.S.C. §§ 157 and 1334; it appearing that this proceeding is a core proceeding pursuant to 28 U.S.C. § 157(b)(2); it appearing that notice of the Objection and the opportunity for a hearing on the Objection were appropriate under the particular circumstances and that no other or further notice need be given; the Court having considered the Objection, the Disputed Claims listed on Exhibit A attached hereto and incorporated by reference herein, and any responses thereto; upon the record of the hearing to consider the Objection; and after due deliberation and sufficient cause appearing therefor; it is hereby ORDERED that:

1. The Duplicate Claims listed on Exhibit A are hereby disallowed.
2. The Trustee’s right to (a) amend, modify or supplement the Objection, (b) file additional objections to the claims or any other claims (filed or not) which may be asserted against the estate and/or (c) seek further reduction of any claim to the extent such claim has been paid, are preserved. Additionally, should one or more of the grounds of objection stated in the Objection be dismissed, the Trustee’s right to object on other stated grounds or on any other grounds that the Trustee discovers during the pendency of this case is further preserved.
3. Each Disputed Claim and the objection by the Trustee to such Disputed Claim, as addressed in the Objection and as set forth in Exhibit A constitutes a separate contested matter as contemplated by Bankruptcy Rule 9014. This Order shall be deemed a separate Order with respect to each Disputed Claim. Any stay of this Order pending appeal by any claimants whose Disputed Claim is subject to this Order shall only apply to the contested matter which involves such claimant and shall not act to stay the applicability and/or finality of this Order with respect to the other contested matters listed in the Objection or this Order.
4. The Trustee and the Claims Agent are authorized and empowered to take all actions necessary to implement the relief granted in this Order.
5. This Court retains jurisdiction with respect to all matters arising from or related to the enforcement or interpretation of this Order.

Enter:

Honorable Carol A. Doyle

United States Bankruptcy Judge

Dated:

Prepared by:

Robert M. Fishman (#3124316)

Allen J. Guon (#6244546)

Christina M. Sanfelippo (#6321440)

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