

UNITED STATES BANKRUPTCY COURT
NORTHERN DISTRICT OF ILLINOIS
Eastern Division

In Re:) BK No.: 12-27488
)
PEREGRINE FINANCIAL GROUP, INC.) Chapter: 7
) Honorable Carol A. Doyle
)
)
Debtor(s))

**ORDER GRANTING TRUSTEE’S THIRTY-THIRD OMNIBUS OBJECTION
TO CERTAIN DUPLICATE EMPLOYEE CLAIMS**

Upon the Trustee’s Thirty-Third Omnibus Objection (“Objection”) to Certain Duplicate Employee Claims for entry of an order disallowing the Disputed Claims (capitalized terms not defined herein shall have the meaning ascribed to them in the Objection); it appearing that the Court has jurisdiction over this matter pursuant to 28 U.S.C. §§ 157 and 1334; it appearing that this proceeding is a core proceeding pursuant to 28 U.S.C. § 157(b)(2); it appearing that notice of the Objection and the opportunity for a hearing on the Objection were appropriate under the particular circumstances and that no other or further notice need be given; the Court having considered the Objection, the Disputed Claims listed on Exhibit A attached hereto and incorporated by reference herein, the response filed by Steven Zakrzewski filed on February 28, 2020 [Dkt. No. 5523] and any other responses thereto; upon the record of the hearing to consider the Objection; and after due deliberation and sufficient cause appearing therefor; it is hereby ORDERED that:

1. The Duplicate Claims listed on Exhibit A are hereby disallowed.
2. The Trustee's objections to Claim No. 367, filed by Steven J. Zakrzewski, and Claim No. 13507, filed by Teresa Gaertnier, are withdrawn without prejudice.
3. The Trustee’s right to (a) amend, modify or supplement the Objection, (b) file additional objections to the claims or any other claims (filed or not) which may be asserted against the estate and/or (c) seek further reduction of any claim to the extent such claim has been paid, are preserved. Additionally, should one or more of the grounds of objection stated in the Objection be dismissed, the Trustee’s right to object on other stated grounds or on any other grounds that the Trustee discovers during the pendency of this case is further preserved.
4. Each Disputed Claim and the objection by the Trustee to such Disputed Claim, as addressed in the Objection and as set forth in Exhibit A constitutes a separate contested matter as contemplated by Bankruptcy Rule 9014. This Order shall be deemed a separate Order with respect to each Disputed Claim. Any stay of this Order pending appeal by any claimants whose Disputed Claim is subject to this Order shall only apply to the contested matter which involves such claimant and shall not act to stay the applicability and/or finality of this Order with respect to the other contested matters listed in the Objection or this Order.
5. The Trustee and the Claims Agent are authorized to take all actions necessary to implement the relief granted in this Order.

6. This Court retains jurisdiction with respect to all matters arising from or related to the enforcement or interpretation of this Order.

Enter:

Honorable Carol A. Doyle

United States Bankruptcy Judge

Dated:

Prepared by:

Robert M. Fishman (#3124316)

Allen J. Guon (#6244546)

Christina M. Sanfelippo (#6321440)

Fox Rothschild LLP

321 North Clark Street, Suite 1600

Chicago, Illinois 60654

Phone: (312) 517-9200

EXHIBIT A

PEREGRINE FINANCIAL GROUP, INC.

CASE NO. 12-27488

DUPLICATE CLAIMS

| | Claimant | Duplicate Claim to be Disallowed | Duplicate Claim Amount/Class | Reason for Disallowance |
|---|--|----------------------------------|------------------------------------|---|
| 1 | NOREEN GALLERY 1555 N. SANDBURG TERRACE UNIT 405 CHICAGO, IL 60610 | 8955 | \$5,962.10 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 2 | PATRICIA K. CAMPBELL 1960 N. LINCOLN PARK WEST #1004 CHICAGO, IL 60614 | 11130 | \$8,982.96 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 3 | PATRICIA K. CAMPBELL C/O OUTTEN & GOLDEN LLP ATTN: JACK A. RAISNER, RENE S. 3 PARK AVE., 29TH FLOOR NEW YORK, NY 10016 | 13494 | \$11,725.00 PRI \$19,096.92 UNS | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 4 | PATRICK B. MULCAHY 7 SECATOGUE LANE EAST WEST ISLIP, NY 11795 | 9263 | \$11,725.00 PRI \$11,052.93 UNS | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 5 | PAUL J. MORAN 2213 FLAMBEAU DRIVE NAPERVILLE, IL 60564 | 4897 | \$4,051.72 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 6 | PAUL K. FORCHIONE P.O. BOX 1594 OJAI, CA 93024 | 6392 | \$9,520.13 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |

* - See Claim Class Code at the end of this report

** - "No Amount Given" includes, without limitation, Undetermined, Unliquidated, Unknown, To be Determined or the like or when no amount is listed at all

EXHIBIT A

PEREGRINE FINANCIAL GROUP, INC.

CASE NO. 12-27488

DUPLICATE CLAIMS

| | Claimant | Duplicate Claim to be Disallowed | Duplicate Claim Amount/Class | Reason for Disallowance |
|----|--|----------------------------------|-----------------------------------|---|
| 7 | PAUL MORAN C/O OUTTEN & GOLDEN LLP ATTN: JACK A. RAISNER, RENE S. 3 PARK AVE., 29TH FLOOR NEW YORK, NY 10016 | 13521 | \$11,725.00 PRI \$4,713.36 UNS | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 8 | PAUL NATHAN GILKERSON 3524 N. MARSHFIELD #3B CHICAGO, IL 60613 | 3905 | \$5,206.52 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 9 | REBECCA J. WING 401K 5543 FAIRMOUNT AVE. DOWNERS GROVE, IL 60516 | 4339 | \$2,721.52 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 10 | RICHARD C. SCHACK 273 EDGEWOOD AVE. OAKDALE, NY 11769 | 9819 | \$8,818.57 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 11 | ROBERT C. PARK 200 NORTH CHASE COURT ALTAMONTE SPRINGS, FL 32714 | 11097 | \$2,543.56 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 12 | ROBERT MULCAHY 44 WOHSEEPPEE DR BRIGHTWATERS, NY 11718 | 5755 | \$11,725.00 PRI \$7,232.10 UNS | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |

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PEREGRINE FINANCIAL GROUP, INC.

CASE NO. 12-27488

DUPLICATE CLAIMS

| Claimant | Duplicate Claim to be Disallowed | Duplicate Claim Amount/Class | Reason for Disallowance |
|--|----------------------------------|-------------------------------------|---|
| 13 ROBIN ROSENBERG 4542 CONCORD LANE NORTHBROOK, IL 60062 | 2502 | \$11,725.00 PRI \$20.00 UNS | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 14 RONALD J. KOTULAK C/O OUTTEN & GOLDEN LLP ATTN: JACK A. RAISNER, RENE S. 3 PARK AVE., 29TH FLOOR NEW YORK, NY 10016 | 13499 | \$11,301.37 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 15 RYAN SCHIFF 645 N. PARK BLVD. GLEN ELLYN, IL 60137 | 3909 | \$11,725.00 PRI \$113,780.75 UNS | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 16 RYAN SCOTT PETERSON 26W115 HAZEL LN WHEATON, IL 60187 | 6384 | \$11,725.00 PRI \$19,162.32 UNS | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 17 SAMANTHA SCHMIESING 1614 BROOKSIDE DRIVE CEDAR FALLS, IA 50613 | 4397 | \$1,485.13 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 18 SARA KIES 1607 QUAIL RUN LANE CEDAR FALLS, IA 50613 | 1411 | \$2,900.74 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |

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PEREGRINE FINANCIAL GROUP, INC.

CASE NO. 12-27488

DUPLICATE CLAIMS

| Claimant | Duplicate Claim to be Disallowed | Duplicate Claim Amount/Class | Reason for Disallowance |
|---|----------------------------------|------------------------------|---|
| 19 SARAH K. JUDISCH C/O OUTTEN & GOLDEN LLP ATTN: JACK A. RAISNER, RENE S. 3 PARK AVE., 29TH FLOOR NEW YORK, NY 10016 | 13501 | \$7,397.26 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 20 SARAH KATHERINE JUDISCH 2314 WEST BREMER AVE WAVERLY, IA 50677 | 5789 | \$2,082.31 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 21 SCOTT ADKINS 4129 SPRUCE HILLS DR. CEDAR FALLS, IA 50613 | 13529 | \$7,753.92 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 22 SCOTT RING C/O OUTTEN & GOLDEN LLP ATTN: JACK A. RAISNER, RENE S. 3 PARK AVE., 29TH FLOOR NEW YORK, NY 10016 | 13495 | \$6,575.34 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 23 SHAOYONG CHEN 61 W. 15TH ST., APT 805 CHICAGO, IL 60605 | 7727 | \$2,858.56 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 24 SHAUN LEISTIKOW 415 W. 7TH STREET CEDAR FALLS, IA 50613 | 13833 | \$2,727.22 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |

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PEREGRINE FINANCIAL GROUP, INC.

CASE NO. 12-27488

DUPLICATE CLAIMS

| Claimant | Duplicate Claim to be Disallowed | Duplicate Claim Amount/Class | Reason for Disallowance |
|---|----------------------------------|------------------------------|---|
| 25 SKYLAR DORR 1470 SOUTH 51ST STREET WEST DES MOINES, IA 50265 | 12343 | \$205.00 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 26 SKYLAR DORR C/O OUTTEN & GOLDEN LLP ATTN: JACK A. RAISNER, RENE S. 3 PARK AVE., 29TH FLOOR NEW YORK, NY 10016 | 13508 | \$3,904.11 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 27 STANLEY PREUSSER C/O OUTTEN & GOLDEN LLP ATTN: JACK A. RAISNER, RENE S. 3 PARK AVE., 29TH FLOOR NEW YORK, NY 10016 | 13497 | \$11,718.75 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 28 STANLEY PREUSSER C/O OUTTEN & GOLDEN LLP ATTN: JACK A. RAISNER, ESQ. AND RENE 3 PARK AVE., 29TH FLOOR NEW YORK, NY 10016 | 13610 | \$4,715.18 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 29 STEPHANIE CONRAD 1500 SOUTH SPRUCE AVENUE MOUNT PLEASANT, IA 52641 | 2312 | \$210.00 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 30 STEVE PIETKIEWICZ C/O OUTTEN & GOLDEN LLP ATTN: JACK A. RAISNER, RENE S. 3 PARK AVE., 29TH FLOOR NEW YORK, NY 10016 | 13516 | \$7,397.26 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |

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PEREGRINE FINANCIAL GROUP, INC.

CASE NO. 12-27488

DUPLICATE CLAIMS

| Claimant | Duplicate Claim to be Disallowed | Duplicate Claim Amount/Class | Reason for Disallowance |
|--|----------------------------------|-----------------------------------|---|
| 32 STEVEN M. SHEPHERD 2609 WEST SUPERIOR ST. CHICAGO, IL 60612 | 7798 | \$4,773.95 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 33 STEVEN MAXFIELD 991 HIGBY DR. CEDAR FALLS, IA 50613 | 9578 | \$1,145.83 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 34 TARA J. KANE 5570 WATTERS ROAD HUDSON, IA 50643 | 9730 | \$1,039.10 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 36 TERRY NARLOCH C/O OUTTEN & GOLDEN LLP ATTN: JACK A. RAISNER, RENE S. 3 PARK AVE., 29TH FLOOR NEW YORK, NY 10016 | 13519 | \$11,725.00 PRI \$4,713.36 UNS | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |

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CASE NO. 12-27488

DUPLICATE CLAIMS

| Claimant | Duplicate Claim to be Disallowed | Duplicate Claim Amount/Class | Reason for Disallowance |
|--|----------------------------------|------------------------------------|---|
| 37 THE ESTATE OF MICHAEL DALY ATTN: LINDA DALY 3840 N. PARIS AVENUE CHICAGO, IL 60634 | 10912 | \$2,670.57 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 38 THOMAS J. SOUMBENIOTIS 9 LUCAS LANE CLARKSBURG, NJ 08510 | 7652 | \$11,725.00 PRI \$12,594.45 UNS | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 39 TIM HANNAGAN 26127 S. RUBY ST. MONEE, IL 60449 | 6301 | \$11,725.00 PRI \$5,707.27 UNS | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 40 TIMOTHY SCOTT DAVENPORT 3241 HARBOR BLVD OXNARD, CA 93035 | 81 | \$5,073.15 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 41 TIMOTHY W. ALLEN 3602 W. 1ST ST. CEDAR FALLS, IA 50613 | 11405 | \$3,490.15 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 42 TOBIAS C. KOEHN 1517 ALGOQUIN DR. CEDAR FALLS, IA 50613 | 6648 | \$3,981.53 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |

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DUPLICATE CLAIMS

| Claimant | Duplicate Claim to be Disallowed | Duplicate Claim Amount/Class | Reason for Disallowance |
|---|----------------------------------|------------------------------------|---|
| 43 TUCKER A. MCKEEVER 2 FERNDAL ROAD MADISON, NJ 07940 | 2492 | \$11,325.49 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 44 VAL SIGAEV 2225 TRAILSIDE LN. WAUCONDA, IL 60084-5014 | 3979 | \$3,463.27 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 45 WASENDORF 401K PLAN C/O LEFOLDT & CO., P.A. ATTN: M. LARRY LEFOLDT, INDEPENDENT P.O. BOX 2848 RIDGELAND, MS 39158-2848 | 11679 | \$114,107.86 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 46 WENDY ANN SWARENS 2136 WELLINGTON COURT NEW LENOX, IL 60451 | 4386 | \$5,474.96 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 47 WILLIAM G. O'DONNELL 1645 W. SUMMERDALE AVE. #2 CHICAGO, IL 60640-2026 | 13089 | \$11,725.00 PRI \$13,897.49 UNS | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 48 WILLIAM L. KRICKL 10520 S. KILDARE OAK LAWN, IL 60453 | 3815 | \$11,725.00 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |

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CASE NO. 12-27488

DUPLICATE CLAIMS

| Claimant | Duplicate Claim to be Disallowed | Duplicate Claim Amount/Class | Reason for Disallowance |
|--|----------------------------------|--------------------------------|---|
| 49 WILLIAM N. GORDON 929 W. AINSLIE ST., #3 CHICAGO, IL 60640 | 10755 | \$2,876.25 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 50 YEEMEE HO 641 E. PERSHING RD, UNIT 304 CHICAGO, IL 60653 | 383 | \$1,646.76 PRI \$527.97 UNS | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |
| 51 YUKIKO MIKAMI KINNEY 4113 N. KEELER AVE #2A CHICAGO, IL 60641 | 8999 | \$3,291.71 PRI | Claim asserts a basis for liability that is identical to and duplicative of that asserted in the Allowed Class Claim. |

Claim Class Code Legend

| | |
|------------|----------------------------------|
| UNS | Unsecured Claim |
| PRI | Priority Claim |
| SEC | Secured Claim |
| 503(b)(9) | 503(b)(9) Claim |
| ADM | Administrative Claim |
| FUT | Futures Claim |
| FUT sub 4d | Futures Subordinate 4d Claim |
| FRX | Forex Claim |
| PPM | Physical Precious Metals Claim |
| PMD | Precious Metals Derivative Claim |