

**UNITED STATES BANKRUPTCY COURT  
NORTHERN DISTRICT OF ILLINOIS  
EASTERN DIVISION**

In re: ) Chapter 7  
)  
PEREGRINE FINANCIAL GROUP, INC., ) Case No. 12-27488  
)  
) Honorable Judge Carol A. Doyle  
Debtor. )  
) Hearing Date: April 30, 2020  
) Hearing Time: 10:00 a.m.

**NOTICE OF MOTION**

PLEASE TAKE NOTICE that on **April 30, 2020 at 10:00 a.m.**, the undersigned shall appear *telephonically* through CourtSolutions, LLC before the Honorable Judge Carol A. Doyle, in Courtroom 742, 219 South Dearborn Street, Chicago, Illinois, and then and there present the **Tenth Interim Fee Application of the Trustee’s Financial Advisor for Interim Compensation and Expenses for the Period of January 1, 2018 through January 31, 2020** (the “Application”), at which time you may appear telephonically and be heard.

PURSUANT TO GENERAL ORDER NO. 20-03 any party who objects to the Application and seeks to have it heard by the Court must file a Notice of Objection with the Clerk of the United States Bankruptcy Court, at 219 S. Dearborn St., 7<sup>th</sup> Floor, Chicago, Illinois 60604, no later than two (2) business days before the presentment date of April 30, 2020. If no Notice of Objection is timely filed, the relief requested in the Application may be granted without a hearing.

Dated: April 8, 2020

Respectfully submitted,

FOX ROTHSCHILD LLP

By: /s/ Christina M. Sanfelippo  
*Counsel to the Trustee*

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## CERTIFICATE OF SERVICE

Christina M. Sanfelippo certifies that she caused to be served a true copy of the above and foregoing **Notice Of Motion** and **Tenth Interim Fee Application of the Trustee's Financial Advisor for Interim Compensation and Expenses for the Period of January 1, 2018 through January 31, 2020** upon the attached Electronic Mail Notice List through the ECF System and on the attached Service List in the manner so indicated on this 8th day of April, 2020.

/s/ Christina M. Sanfelippo

## Mailing Information for Case 12-27488

### Electronic Mail Notice List

The following is the list of **parties** who are currently on the list to receive email notice/service for this case.

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**A copy of the Notice of Motion was served on the following parties, with the complete application available upon request to the Trustee's counsel:**

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UNITED STATES BANKRUPTCY COURT  
NORTHERN DISTRICT OF ILLINOIS  
Eastern Division

In re:	)	BK No.: 12-27488
	)	
PEREGRINE FINANCIAL GROUP, INC.	)	Chapter: 7
	)	
	)	Honorable Carol A. Doyle
	)	
	)	
Debtor(s)	)	
	)	

**TENTH INTERIM FEE APPLICATION OF THE TRUSTEE'S  
FINANCIAL ADVISOR FOR INTERIM COMPENSATION AND EXPENSES  
FOR THE PERIOD OF JANUARY 1, 2018 THROUGH JANUARY 31, 2020**

Name of Applicant:	PricewaterhouseCoopers LLP (" <u>PwC</u> ") <sup>1</sup>
Authorized to Provide Professional Services to:	Trustee
Date of Retention:	September 12, 2012, effective as of July 22, 2012
Period for which compensation and reimbursement is sought:	January 1, 2018 through January 31, 2020 (the " <u>Interim Period</u> ") <sup>2</sup>
Amount of Compensation sought as actual, reasonable and necessary:	\$154,064.68
Amount of Expense Reimbursement sought as actual, reasonable and necessary:	\$55.28

This is a:     \_\_\_ monthly   X interim   \_\_\_ final application.

The total time expended for monthly fee statements and fee application preparation is approximately 22.90 hours and the corresponding compensation requested is approximately \$6,901,00.

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<sup>1</sup> Capitalized terms not otherwise defined herein have the meanings given to them in the applicable Motion, Order or other filing defined.

<sup>2</sup> The applicant reserves the right to include any time expended in the period indicated above in future application(s) if it is not included herein.



This is the Tenth Interim Fee Application filed by PwC.

**SUMMARY OF PRIOR INTERIM APPLICATIONS FILED**

Statement No	Application Date	Filing Period	Fees Requested	Expenses Requested	Fees Approved	Expenses Approved
1	01/14/2013	07/22/2012-10/31/2012	\$1,613,671.38	\$2,436.13	\$1,612,756.38	\$2,436.13
2	05/31/2013	11/01/2012-02/28/2013	\$827,736.31	\$8,470.34	\$827,736.31	\$8,470.34
3	11/27/2013	03/01/2013-08/31/2013	\$967,681.98	\$5,289.58	\$967,681.98	\$5,289.58
4	05/15/2014	09/01/2013-01/31/2014	\$529,971.08	\$1,023.51	\$529,971.08	\$1,023.51
5	03/05/2015	02/01/2014-11/30/2014	\$474,343.35	\$1,115.90	\$474,343.35	\$1,115.90
6	08/27/2015	12/01/2014-06/30/2015	\$177,257.56	\$106.15	\$177,257.56	\$106.15
7	03/21/2016	07/01/2015-12/31/2015	\$92,860.75	\$0.00	\$92,860.75	\$0.00
8	02/01/2017	01/01/2016-12/31/2016	\$97,412.45	\$0.00	\$97,412.45	\$0.00
9	03/21/2018	01/01/2017-12/31/2017	\$83,365.63	\$0.00	\$83,365.63	\$0.00
<b>Totals</b>			<b>\$4,864,300.49</b>	<b>\$18,441.61</b>	<b>\$4,864,300.49</b>	<b>\$18,441.61</b>

**SUMMARY OF PREVIOUSLY NOTICED MONTHLY STATEMENTS**

Statement No	Monthly Statement Date	Filing Period	Fees Requested	Expenses Requested	Fees Approved (80%)	Expenses Approved (100%)	Fees Pending (20%)
50	06/25/2018	01/01/2018-05/30/2018	\$49,642.35	\$0.00	\$39,713.88	\$0.00	\$9,928.47
51	01/30/2019	06/01/2018-12/31/2018	\$27,077.60	\$0.00	\$21,662.08	\$0.00	\$5,415.52
52	06/20/2019	01/01/2019-05/31/2019	\$34,875.73	\$55.28	\$27,900.58	\$55.28	\$6,975.15
53	03/03/2020	06/01/2019-01/01/2020	\$42,469.00	\$0.00	\$33,975.20	\$0.00	\$8,493.80
<b>Totals</b>			<b>\$154,064.68</b>	<b>\$55.28</b>	<b>\$123,251.74</b>	<b>\$55.28</b>	<b>\$30,812.94</b>

**SUMMARY BY PROJECT**

<b>Project Category and Billing Category</b>	<b>Hours</b>	<b>Total Compensation<sup>3</sup></b>
<b>Hourly Services</b>		
<i>Restructuring Advisory Services</i>		
Monitoring of a cash flow and wind down budget	49.6	\$24,452.80
Tracking sources of value and related cash distributions to creditors and stakeholders	95.8	\$47,229.40
<b><i>Subtotal - Hours and Compensation - Restructuring Advisory Services</i></b>	<b>145.4</b>	<b>\$71,682.20</b>
<i>Forensic Accounting, Technology &amp; Advisory Services</i>		
Accumulation of data and preparation of various schedules, account analyses, and reconciliations	1.5	\$982.50
Analysis related to identification and preservation of electronically stored information and other information technology assets	17.2	\$7,901.90
Identify and obtain relevant information and documentation	120.5	\$51,737.75
Inventory available electronic sources of information (“ESI”)	41.6	\$14,859.33
<b><i>Subtotal - Hours and Compensation - Forensic Accounting, Technology &amp; Advisory Services</i></b>	<b>180.8</b>	<b>\$75,481.48</b>
<b><i>Subtotal - Hours and Compensation - Hourly Services</i></b>	<b>326.2</b>	<b>\$147,163.68</b>
<b>Case Administration</b>		
<i>Bankruptcy Requirements and Other Court Obligations</i>		
Monthly, Interim and Final Fee Applications	22.9	\$6,901.00
<b><i>Subtotal - Hours and Compensation - Bankruptcy Requirements and Other Court Obligations</i></b>	<b>22.9</b>	<b>\$6,901.00</b>
<b><i>Subtotal - Hours and Compensation - Case Administration</i></b>	<b>22.9</b>	<b>\$6,901.00</b>
<b>Total - Hours and Compensation Sought for Reimbursement</b>	<b>349.1</b>	<b>\$154,064.68</b>

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<sup>3</sup> The "Total Compensation" represents the agreed upon reduction in hourly billing rates for its professionals by fifteen percent (15%) as set forth in the Agreement. Effective April 1, 2013, PwC increased its billing rates and the fees shown above reflect those new billing rates discounted by fifteen percent (15%) per the Agreement.

**SUMMARY BY PROJECT AND PROFESSIONAL**

<b>Project Category and Professional Position</b>	<b>Rate</b>	<b>Hours</b>	<b>Total Compensation</b>
<b>Hourly Services</b>			
<i>Restructuring Advisory Services</i>			
Keith L Kaiser Director	\$493.00	29.0	\$14,297.00
Russell J Monco Director	\$493.00	116.4	\$57,385.20
<b>Subtotal - Hours and Compensation - Restructuring Advisory Services</b>			<b>145.4 \$71,682.20</b>
<i>Forensic Accounting, Technology &amp; Advisory Services</i>			
Bryan K Humphrey Partner	\$655.00	22.5	\$14,737.50
Carrie A Vos Director	\$493.00	61.0	\$30,073.00
Tony Hong Manager	\$382.50	6.0	\$2,295.00
Elizabeth Wallace Manager	\$374.00	22.6	\$8,452.40
Steven Sanabria Senior Associate	\$374.00	2.0	\$748.00
Elizabeth Wallace Senior Associate	\$314.50	5.8	\$1,824.10
Sharath Subramanian Senior Associate	\$314.50	1.0	\$314.50
Steven Michael Vagnier Senior Associate	\$314.50	6.2	\$1,949.90
Zhe Hu Senior Associate	\$314.50	6.6	\$2,075.70
Steven Michael Vagnier Associate	\$276.25	29.0	\$8,011.25
Alexander G Agra Associate	\$276.25	8.0	\$2,210.00
Elysha Marie Castle Associate	\$276.25	10.1	\$2,790.13
<b>Subtotal - Hours and Compensation - Forensic Accounting, Technology &amp; Advisory Services</b>			<b>180.8 \$75,481.48</b>
<b>Case Administration</b>			
<i>Bankruptcy Requirements and Other Court Obligations</i>			
Andrea Clark Smith Director (Bankruptcy)	\$550.00	4.4	\$2,420.00
Chad William Brown Senior Associate (Bankruptcy)	\$290.00	4.9	\$1,421.00
Nanette J Kortuem Associate (Bankruptcy)	\$225.00	4.6	\$1,035.00
Chad William Brown Associate (Bankruptcy)	\$225.00	9.0	\$2,025.00
<b>Subtotal - Hours and Compensation - Bankruptcy Requirements and Other Court Obligations</b>			<b>22.9 \$6,901.00</b>
<b>Total - Hours and Compensation - Hourly Services and Case Administration</b>		<b>349.1</b>	<b>\$154,064.68</b>

**SUMMARY OF EXPENDITURES BY PROJECT AND TYPE**

<b>Transaction Type by Project</b>	<b>Total Expenditures</b>
<b>Hourly Services</b>	
<i>Forensic Accounting, Technology &amp; Advisory Services</i>	
Server Supplies	\$55.28
<i>Subtotal - Expenditures Sought for Forensic Accounting, Technology &amp; Advisory Services</i>	\$55.28
<b>Subtotal - Expenditures Sought for Hourly Services</b>	<b>\$55.28</b>
<b>Total - Expenditures Sought for Reimbursement</b>	<b>\$55.28</b>

UNITED STATES BANKRUPTCY COURT  
NORTHERN DISTRICT OF ILLINOIS  
Eastern Division

In re:	)	BK No.: 12-27488
	)	
PEREGRINE FINANCIAL GROUP, INC.	)	Chapter: 7
	)	
	)	Honorable Carol A. Doyle
	)	
	)	<b>Hearing Date: TBD</b>
	)	<b>(Only if objection is filed)</b>
Debtor(s)	)	

**TENTH INTERIM FEE APPLICATION OF THE TRUSTEE'S  
FINANCIAL ADVISOR FOR INTERIM COMPENSATION AND EXPENSES  
FOR THE PERIOD OF JANUARY 1, 2018 THROUGH JANUARY 31, 2020**

PricewaterhouseCoopers LLP ("PwC")<sup>1</sup> hereby submits this Tenth Interim Fee Application as the Trustee's Financial Advisor for Interim Compensation and Expenses for the Period of January 1, 2018 through January 31, 2020 (the "Interim Fee Application") for interim allowance and payment of compensation as financial advisor for services performed and expenses incurred during the period commencing January 1, 2018 through January 31, 2020 (the "Interim Period").

In support of this Interim Fee Application, PwC respectfully represents as follows:

**BACKGROUND**

1. On July 10, 2012 (the "Petition Date"), the Debtor filed a voluntary petition for relief under chapter 7 of the Bankruptcy Code, 11 U.S.C. § 101, *et. seq.* Ira Bodenstein is the duly appointed chapter 7 trustee of the Debtor's estate (the "Estate").

2. This Court has jurisdiction to hear this matter and enter a final order granting the relief requested herein pursuant to 28 U.S.C. §§ 157 and 1334 and Internal Operating Procedure

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<sup>1</sup> Capitalized terms not otherwise defined herein have the meanings given to them in the applicable Motion, Order or other Court filing defined.

15(a) of the United States District Court for the Northern District of Illinois. Venue is proper in this District pursuant to 28 U.S.C. §§ 1408 and 1409.

3. On September 6, 2012, the Trustee filed the Application of the Trustee for Authority to Employ Financial Advisor and for Approval of Related Compensation Arrangement [Docket No. 153] (the "Retention Application").

4. On September 12, 2012, the Court entered an Order Authorizing the Trustee to Employ Financial Advisor and for Approval of Related Compensation Arrangement [Docket No. 170] (the "Retention Order"). The Retention Order states, among other things, that the Trustee is authorized to employ PwC as financial advisor.

#### **RELIEF REQUESTED**

5. This Interim Fee Application has been prepared in accordance with sections 327(a) and 328(a) of (the "Bankruptcy Code") and Rules 2014(a) of the Federal Rules of Bankruptcy Procedure (the "Bankruptcy Rules"), the Administrative Order Establishing Procedures for Interim Compensation and Reimbursement of Expenses of Trustee's Professionals [Docket No. 85] (the "Compensation Order"), and the United States Trustee Guidelines for Reviewing Applications for Compensation and Reimbursement of Expenses Filed Under 11 U.S.C. § 330, effective January 30, 1996 (the "UST Guidelines", collectively with the Bankruptcy Code, Bankruptcy Rules and the Interim Compensation Order, the "Compensation Guidelines").

6. The Compensation Order establishes certain Compensation Guidelines for each Professional to submit a Monthly Statement for interim compensation and reimbursement for expenses. The Compensation Order provides, among other things, that each Professional will serve a Monthly Statement to various Notice Parties identified in the Compensation Order and submit to the Office of the United States Trustee. PwC, in each instance, sends the Monthly

Statement to the Trustee for his review and approval prior to it being served under the Procedures Order. If an objection to a professional's request is not served upon the respective Professional and the other Notice Parties within 15 days after the Monthly Statement Date, the Trustee will promptly pay eighty percent (80%) of the fees and one hundred percent (100%) of the expenses requested in each Monthly Statement to which no objection has been served. Below are the four Monthly Statements previously distributed to the Notice Parties during this Interim Period:

- a. On June 25, 2018, PwC submitted its fiftieth Monthly Statement for services and expenses incurred from January 1, 2018 through May 30, 2018, requesting \$49,642.35 in fees and \$0.00 in expenses, attached hereto and made part hereof as Exhibit A.
- b. On January 1, 2019, PwC submitted its fifty first Monthly Statement for services and expenses incurred from June 1, 2018 through December 31, 2018, requesting \$27,077.60 in fees and \$0.00 in expenses, attached hereto and made part hereof as Exhibit B.
- c. On June 6, 2019, PwC submitted its fifty second Monthly Statement for services and expenses incurred from January 1, 2019 through May 31, 2019, requesting \$34,875.73 in fees and \$55.28 in expenses, attached hereto and made part hereof as Exhibit C.
- d. On March 3, 2020, PwC submitted its fifty third Monthly Statement for services and expenses incurred from June 1, 2019 through January 31, 2020, requesting \$42,469.00 in fees and \$0.00 in expenses, attached hereto and made part hereof as Exhibit D.

7. PwC has filed with the Court and served on the Notice Parties the following Interim Fee Applications requesting compensation through an application for interim Court approval and allowance pursuant to 11 U.S.C. § 331, of the compensation and reimbursement of expenses.

- a. This is PwC's Tenth Interim Fee Application.
- b. On January 14, 2013, PwC submitted its first Interim Fee Application for compensation and reimbursement of expenses incurred from July 22, 2012 through October 31, 2012, requesting \$1,613,671.38 in fees and \$2,436.13 in expenses. The Court approved \$1,612,756.38 in fees and \$2,436.13 in expenses on February 6, 2013 [Docket No. 415].
- c. On May 31, 2013, PwC submitted its second Interim Fee Application for

compensation and reimbursement of expenses incurred from November 01, 2012 through February 28, 2013, requesting \$827,736.31 in fees and \$8,470.34 in expenses. The Court approved \$827,736.31 in fees and \$8,470.34 in expenses on June 27, 2013 [Docket No. 1000].

- d. On November 27, 2013, PwC submitted its third Interim Fee Application for compensation and reimbursement of expenses incurred from March 01, 2013 through August 31, 2013, requesting \$967,681.98 in fees and \$5,289.58 in expenses. The Court approved \$967,681.98 in fees and \$5,289.58 in expenses on December 18, 2013 [Docket No. 2141].
- e. On May 15, 2014, PwC submitted its fourth Interim Fee Application for compensation and reimbursement of expenses incurred from September 01, 2013 through January 31, 2014, requesting \$529,971.08 in fees and \$1,023.51 in expenses. The Court approved \$529,971.08 in fees and \$1,023.51 in expenses on June 18, 2014 [Docket No. 2656].
- f. On March 5, 2015, PwC submitted its fifth Interim Fee Application for compensation and reimbursement of expenses incurred from February 1, 2014 through November 30, 2014, requesting \$474,343.35 in fees and \$1,115.90 in expenses. The Court approved \$474,343.35 in fees and \$1,115.90 in expenses on April 9, 2015 [Docket No. 3640].
- g. On August 27, 2015, PwC submitted its sixth Interim Fee Application for compensation and reimbursement of expenses incurred from December 1, 2014 through June 30, 2015, requesting \$177,257.56 in fees and \$106.15 in expenses. The Court approved \$177,257.56 in fees and \$106.15 in expenses on September 17, 2015 [Docket No. 4231].
- h. On March 21, 2016, PwC submitted its seventh Interim Fee Application for compensation and reimbursement of expenses incurred from July 1, 2015 through December 31, 2015, requesting \$92,860.75 in fees and \$0.00 in expenses. The Court approved \$92,860.75 in fees and \$0.00 in expenses on April 14, 2016 [Docket No. 4934].
- i. On February 1, 2017, PwC submitted its eighth Interim Fee Application for compensation and reimbursement of expenses incurred from January 1, 2016 through December 31, 2016, requesting \$97,412.45 in fees and \$0.00 in expenses. The Court approved \$97,412.45 in fees and \$0.00 in expenses on February 23, 2017 [Docket No. 5109].
- j. On March 21, 2018, PwC submitted its ninth Interim Fee Application for compensation and reimbursement of expenses incurred from January 1, 2017 through December 31, 2017, requesting \$83,365.63 in fees and \$0.00 in expenses. The Court approved \$83,365.63 in fees and \$0.00 in expenses on April 25, 2018 [Docket No. 5309].



8. Other than as provided in Section 504(b) of the Bankruptcy Code, PwC has not shared, or agreed to share, any compensation received with respect to the Interim Period. No agreement or understanding concerning compensation prohibited by 18 U.S.C. § 155 has been made to PwC by any firm, person or entity. The sole and exclusive source of compensation shall be funds of the Debtor's estate.

### **SUMMARY OF COMPENSATION REQUESTED**

9. This is PwC's Tenth Interim Fee Application. PwC submits this Interim Fee Application for approval and allowance of interim compensation equal to \$154,064.68 for actual, reasonable and necessary professional services rendered, and reimbursement of \$55.28 for actual, reasonable and necessary expenses incurred during the Interim Period<sup>2</sup> for a total request of \$154,119.96 (the "Total Compensation Amount").

10. As stated above, PwC has previously distributed the Monthly Statements for compensation for professional services rendered and reimbursement of disbursements made in this case during the Interim Period. These Monthly Statements include details of the services provided by PwC to the Trustee, including, in each instance, the identity of the professionals involved in the provision of such services, the dates of service, the time expended, and a brief description of the services sought. The objection period has expired on any of the Monthly Statements and PwC has not received any objections from the Notice Parties, nor has a written "Notice of Objection to Fee Statement" been received. Accordingly, PwC now seeks interim allowance from the Court through this Interim Fee Application.<sup>3</sup>

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<sup>2</sup> PwC reserves its right to seek at a later date compensation for services rendered and expenses incurred during the Interim Period that are not otherwise included in this Interim Fee Application, due to internal billing delays.

<sup>3</sup> To date, no objections have been received to any prior monthly fee statements (January 2018 through May 2019).

**SUMMARY OF PROFESSIONAL SERVICES RENDERED**

11. As described within the Retention Application, PwC continues to provide financial advisor services pursuant to the Agreements. A summary of the hours and compensation sought by type of service is shown below:

<b>Type of Services</b>	<b>Hours</b>	<b>Total Compensation</b>
Hourly Services	326.20	\$147,163.68
Case Administration	22.90	\$6,901.00
<b>Total - Hours and Compensation Sought for Reimbursement</b>	<b>349.10</b>	<b>\$154,064.68</b>

**Hourly Services**

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12. During the Interim Period, PwC professionals provided restructuring advisory and forensic accounting, technology and advisory services pursuant to the Restructuring Agreement in connection with its retention as financial advisor. Below is a summary of the various services offered to the Trustee during the Interim Period:

**Restructuring Advisory Services**

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13. **Monitoring of a cash flow and wind down budget** - During the Interim Period, PwC professionals rendered services to the Trustee including, but are not limited to the following: (i) review fund transfers between the Trustee's bank account and financial institutions and (ii) update Trustee's cash investment summary on a monthly basis.

14. **Other general restructuring / bankruptcy related advice** - During the Interim Period, PwC professionals rendered services to the Trustee including a review of the quarterly fee statements.

15. **Tracking sources of value and related cash distributions to creditors and stakeholders** - During the Interim Period, PwC professionals rendered services to the Trustee including, but are not limited to the following: (i) review and analysis of claims data, including reconciliation to customer account balances, (ii) assistance and preparation of customer

distributions, (iii) updated projected recovery and distribution profiles and (iv) research and response to DOJ inquiries and (v) provided support for the Trustee's declaration.

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**Forensic Accounting, Technology & Advisory Services**

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16. **Identify and obtain relevant information and documentation** - During the Interim Period, PwC professionals rendered services to the Trustee including, but are not limited to the following: (i) identify and organize PFG and outside data sources in response to data requests from third parties as required and (ii) review and analyze accounting information and documentation provided by PFG.

17. **Provide other forensic accounting, technology and advisory services as requested** - During the Interim Period, PwC professionals rendered services to the Trustee including, but are not limited to the following: (i) provide support in keyword searching, document batching and other review-related support.

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**Case Administration Services**

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18. During the Interim Period, PwC bankruptcy professionals worked with the various management professionals on the financial advisor teams and provided guidance and technical expertise associated with the case administration services, including the following:

19. **Monthly, Interim and Final Fee Applications** - PwC's bankruptcy retention and billing advisors consult with the client-service professionals to ensure their understanding of the Compensation Guidelines and requirements associated with tracking their time during this chapter 7 case. The hours and corresponding fees represents the additional time incurred by PwC's bankruptcy retention and billing professionals to prepare the Monthly Statements in accordance with the Compensation Guidelines. All of these services are incremental to the normal billing procedures performed by PwC for its non-bankruptcy clients. One step within this process is to

review the submitted time and expense entries received from the client-service professionals and provide feedback and guidance regarding revisions necessary to comply with the Compensation Guidelines prior to submission to the Notice Parties and the Trustee. The time incurred by the client-service professionals (original entries and revising entries) are not invoiced to the Trustee. During the Interim Period, the PwC's bankruptcy retention and billing professionals supported the restructuring advisors and forensic accounting teams in the review and submission of the Monthly Statements and preparation of the Ninth Interim Fee Application to the Notice Parties and the Trustee.

20. The fees sought by this Interim Fee Application reflect an aggregate of 349.10 hours of professional time spent and recorded in performing services for the Trustee during the Interim Period. PwC is only seeking compensation for services rendered to the Trustee in connection with this Case as specified in the Agreement. PwC will continue to disclose the hours within each Interim Fee Application submission.

**SUMMARY OF EXPENDITURES INCURRED**

21. Based upon a review of PwC's internal billing records, PwC professionals incurred the following out-of-pocket expenditure during the Interim Period.<sup>4</sup> The expense records of PwC are included within each Monthly Statement, as exhibits providing a summary by expenditure type and daily breakout of the expenditures by each PwC timekeeper. Below is a summary by project.

<b>Project Category</b>	<b>Total Expenditures</b>
<b>Hourly Services</b>	
Forensic Accounting, Technology & Advisory Services	\$55.28
<b>Subtotal - Expenditures Sought for Hourly Services</b>	<b>\$55.28</b>
<b>Total - Expenditures Sought for Reimbursement</b>	<b>\$55.28</b>

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<sup>4</sup> PwC's standard practice is to treat certain expenses as having been incurred when such obligations are recorded and reflected as payable in PwC's accounting system. Accordingly, reimbursement for certain disbursements incurred during the interim period may still be pending submission and will be requested in future statement periods.

**REASONABLE AND NECESSARY SERVICES RENDERED BY PwC**

22. This Interim Fee Application includes details of the services provided by PwC to the Trustee, including, in each instance, the identity of the professionals involved in the provision of such services, the dates of service, the time expended, and a brief description of the services sought. PwC charged and now requests those fees that are reasonable and necessary in order for PwC to perform its duties and obligations to the Trustee and customary in this marketplace for similar Chapter 7 cases. The compensation requested in this Interim Fee Application does not exceed the reasonable value of the services rendered.

23. This Interim Fee Application covers the period from January 1, 2018 through and including January 31, 2020. Although every effort was made to include all fees and expenses from the Interim Period in this Interim Fee Application, some fees and/or expenses from the Interim Period might not be included in this Interim Fee Application due to delays in processing time and receipt of invoices for expenses and/or for preparation for the instant application subsequent to the covered period. Accordingly, PwC reserves the right to make further applications for allowance of fees and expenses not included herein. This Interim Fee Application is also made without prejudice to PwC's right to seek further interim allowances and/or a final allowance of compensation in the future in accordance with the Retention Order and the Compensation Order.

**REQUESTED COMPENSATION SHOULD BE ALLOWED**

24. Section 331 of the Bankruptcy Code provides for interim compensation of professionals and incorporates the substantive standards of Section 330 of the Bankruptcy Code to govern the Court's award of such compensation. 11 U.S.C. § 331. Section 330 provides that a Court may award a professional employed under Section 327 of the Bankruptcy Code "reasonable compensation for actual, necessary services rendered and reimbursement for actual, necessary

expenses." 11 U.S.C. § 330(a)(1). Section 330 also sets forth the criteria for the award of such compensation and reimbursement:

In determining the amount of reasonable compensation to be awarded, the court should consider the nature, extent, and the value of such services, taking into account all relevant factors, including --

- (A) the time spent on such services;
- (B) the rates charged for such services;
- (C) whether the services were necessary to the administration of, or beneficial at the time which the service was rendered toward the completion of, a case under this title;
- (D) whether the services were performed within a reasonable amount of time commensurate with the complexity, importance, and nature of the problem, issue, or task addressed;
- (E) with respect to a professional person, whether the person is board certified or otherwise has demonstrated skill and expertise in the bankruptcy field, and;
- (F) whether the compensation is reasonable based on the customary compensation charged by comparably skilled practitioners in cases other than cases under this title.

11 U.S.C. § 330.

25. In accordance with the factors enumerated in section 330 of the Bankruptcy Code, it is respectfully submitted that the amount requested by PwC is fair and reasonable given (a) the complexity of the case, (b) the time expended, (c) the nature and extent of the services rendered, (d) the value of such services, and (e) the costs of comparable services other than in a case under the Bankruptcy Code.

26. Other than as provided in Section 504(b) of the Bankruptcy Code, PwC has not shared, or agreed to share, any compensation received with respect to the Interim Period as a result of this case with any person, firm or entity. No promises concerning compensation have been made to PwC by any firm, person or entity. The sole and exclusive source of compensation shall

be funds of the Debtor's estate.

27. In rendering these services, PwC made every effort to maximize the benefit to the Trustee and to work with other professionals employed in the case to avoid duplication of effort. PwC believes that the level of services rendered to achieve the results obtained for the benefit of the Debtor's estate was reasonable in light of the number and complexity of the issues involved in this case at the time the services were rendered. PwC judiciously allocated responsibilities to minimize possible duplication of effort.

### **CERTIFICATE OF COMPLIANCE AND WAIVER**

28. To the best of its knowledge, PwC believes that this Interim Fee Application and the description of services set forth herein of work performed are in compliance with the requirements as outlined within the Compensation Guidelines, as well as its Retention Order which granted a waiver of the information requirements relating to compensation requests set forth in Bankruptcy Code 330 and 331. The Retention Order states that PwC shall be excused from keeping time records for services rendered in one-tenth (0.1) of an hour increments, and instead PwC shall keep reasonably detailed records of time in half hour (0.5) increments, describing the services rendered by each professional and the amount of time spent on each date. To the extent that this Interim Fee Application does not comply in all respects with the requirements of the Compensation Guidelines, PwC believes that such deviations are not material and respectfully requests that such requirements be waived.

### **NOTICE**

29. Notice of this Interim Fee Application has been provided to the Trustee and the Notice Parties (as defined within the Compensation Order) and PwC believe that no other or further notice need be provided.


**CONCLUSION**

30. PwC respectfully submits that the Total Compensation Amount requested herein is reasonable compensation for the actual and necessary services rendered based upon the time, nature and value of such services and the terms and times specified in the Agreements. PwC will continue to disclose its hours and services throughout each Interim Period. PwC further asserts that the costs of services rendered and expenses incurred are comparable to the cost of similar services and expenses in matters other than under the Bankruptcy Code and consistent with its Retention Application.

WHEREFORE, PwC respectfully that the Court approve the interim allowance to be made to PwC for the period from January 1, 2018 through January 31, 2020 in the sum of \$154,064.68 as compensation for necessary professional services rendered, and the sum of \$55.28, for reimbursement of actual necessary costs and expenses, for a total of \$154,119.96; that the Trustee be authorized to pay to PwC \$30,812.94, which represents the allowed fees and expenses less the amounts previously paid to PwC; and for such other and further relief as may be just and proper.

WHEREFORE, PwC requests that is be allowed reimbursement for its fees and expenses incurred during the Interim Period and that such fees and expenses be paid as administrative expenses of the Debtor's estate.

Dated: April 7, 2020  
New York, New York

  
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Steven Fleming, Principal  
PricewaterhouseCoopers LLP  
90 Park Ave.  
New York, NY 10016  
*Financial Advisor to the Trustee*



**PEREGRINE FINANCIAL GROUP INC, et al., Case No. 12-27488  
PricewaterhouseCoopers LLP ("PwC") - Financial Advisor**

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**Hours and Compensation Submission  
For the Period January 1, 2018 through January 31, 2020**

**Enclosure:**

PwC Interim Fee Application for professional fees rendered and expenses incurred

Fees,	\$154,064.68
Expenses,	\$ 55.28

**Hours and Compensation:**

**Exhibits:**

Monthly Statements of Trustee's Financial Advisor for Interim  
Compensation and Expenses for Period Ending:

Combined - January 1, 2018 - May 31, 2018	A
Combined – June 1, 2018 - December 31, 2018	B
Combined – January 1, 2019 - May 31, 2019	C
Combined – June 1, 2019 - January 31, 2020	D

**EXHIBIT A**

PricewaterhouseCoopers LLP - Financial Advisor

Summary by Project Category and Billing Category

For the Period January 1, 2018 through May 31, 2018

Project Category and Billing Category	Hours	Total Compensation
<b>Hourly Services</b>		
<i>Restructuring Advisory Services</i>		
Monitoring of a cash flow and wind down budget	11.8	\$5,817.40
Tracking sources of value and related cash distributions to creditors and stakeholders	7.0	\$3,451.00
<b>Subtotal - Hours and Compensation - Restructuring Advisory Services</b>	<b>18.8</b>	<b>\$9,268.40</b>
<i>Forensic Accounting, Technology &amp; Advisory Services</i>		
Accumulation of data and preparation of various schedules, account analyses, and reconciliations	1.5	\$982.50
Identify and obtain relevant information and documentation	89.0	\$38,013.95
<b>Subtotal - Hours and Compensation - Forensic Accounting, Technology &amp; Advisory Services</b>	<b>90.5</b>	<b>\$38,996.45</b>
<b>Subtotal - Hours and Compensation - Hourly Services</b>	<b>109.3</b>	<b>\$48,264.85</b>
<b>Case Administration</b>		
<i>Bankruptcy Requirements and Other Court Obligations</i>		
Monthly, Interim and Final Fee Applications	5.4	\$1,377.50
<b>Subtotal - Hours and Compensation - Bankruptcy Requirements and Other Court Obligations</b>	<b>5.4</b>	<b>\$1,377.50</b>
<b>Subtotal - Hours and Compensation - Case Administration</b>	<b>5.4</b>	<b>\$1,377.50</b>
<b>Total - Hours and Compensation Sought for Reimbursement</b>	<b>114.7</b>	<b>\$49,642.35</b>

The "Total Compensation" represents the agreed upon reduction in hourly billing rates for its professionals by fifteen percent (15%) as set forth in the Agreement. Effective April 1, 2013, PwC increased its billing rates and the fees shown above reflect those new billing rates discounted by fifteen percent (15%) per the Agreement.

**PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488** **Exhibit B**  
**PricewaterhouseCoopers LLP - Financial Advisor**  
**Hourly Services and Case Administration - Summary of Hours / Fees by Project and Professional**  
**For the Period January 1, 2018 through May 31, 2018**

Project Category and Professional	Position	Rate	Hours	Total Compensation
<b>Hourly Services</b>				
<i>Restructuring Advisory Services</i>				
Russell J Monco	Director	\$493.00	18.8	\$9,268.40
<b>Subtotal - Hours and Compensation - Restructuring Advisory Services</b>			<b>18.8</b>	<b>\$9,268.40</b>
<i>Forensic Accounting, Technology &amp; Advisory Services</i>				
Bryan K Humphrey	Partner	\$655.00	10.5	\$6,877.50
Carrie A Vos	Director	\$493.00	45.2	\$22,283.60
Elizabeth Wallace	Senior Associate	\$314.50	5.8	\$1,824.10
Steven Michael Vagnier	Associate	\$276.25	29.0	\$8,011.25
<b>Subtotal - Hours and Compensation - Forensic Accounting, Technology &amp; Advisory Services</b>			<b>90.5</b>	<b>\$38,996.45</b>
<b>Case Administration</b>				
<i>Bankruptcy Requirements and Other Court Obligations</i>				
Andrea Clark Smith	Director (Bankruptcy)	\$550.00	0.5	\$275.00
Chad William Brown	Associate (Bankruptcy)	\$225.00	4.9	\$1,102.50
<b>Subtotal - Hours and Compensation - Bankruptcy Requirements and Other Court Obligations</b>			<b>5.4</b>	<b>\$1,377.50</b>
<b>Total - Hours and Compensation - Hourly Services and Case Administration</b>			<b>114.7</b>	<b>\$49,642.35</b>

The "Total Compensation" represents the agreed upon reduction in hourly billing rates for its professionals by fifteen percent (15%) as set forth in the Agreement. Effective April 1, 2013, PwC increased its billing rates and the fees shown above reflect those new billing rates discounted by fifteen percent (15%) per the Agreement.

**PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488**

**Exhibit C**

**PricewaterhouseCoopers LLP - Financial Advisor**

**Hourly Services and Case Administration Services - Professional Services by Project, Professional and Date**

**For the Period January 1, 2018 through May 31, 2018**

<b>Date</b>	<b>Name</b>	<b>Position</b>	<b>Description</b>	<b>Rate</b>	<b>Hours</b>	<b>Total Compensation</b>
<b>Hourly Services</b>						
<i>Restructuring Advisory Services</i>						
<u>Monitoring of a cash flow and wind down budget</u>						
1/24/2018	Russell J Monco	Director	0518H001: Review and update of cash tracker and preparation of cash summary.	\$493.00	3.00	\$1,479.00
2/26/2018	Russell J Monco	Director	0518H002: Review and update of cash tracker and preparation of cash summary.	\$493.00	3.30	\$1,626.90
2/26/2018	Russell J Monco	Director	0518H003: Review of Morgan Stanley funds and potential set offs.	\$493.00	1.70	\$838.10
3/1/2018	Russell J Monco	Director	0518H004: Review and update of cash tracker and preparation of cash summary.	\$493.00	0.80	\$394.40
4/27/2018	Russell J Monco	Director	0518H005: Review and update of cash tracker and preparation of cash summary.	\$493.00	3.00	\$1,479.00
<b>Subtotal - Hours and Compensation - Monitoring of a cash flow and wind down budget</b>					<b>11.80</b>	<b>\$5,817.40</b>
<u>Tracking sources of value and related cash distributions to creditors and stakeholders</u>						
3/1/2018	Russell J Monco	Director	0518H006: Update of futures distribution report and analysis of potential 7th interim distribution.	\$493.00	5.00	\$2,465.00
3/12/2018	Russell J Monco	Director	0518H007: Review of remaining open futures claim status.	\$493.00	0.50	\$246.50
3/13/2018	Russell J Monco	Director	0518H008: Preparation and review of catch up distribution.	\$493.00	1.50	\$739.50
<b>Subtotal - Hours and Compensation - Tracking sources of value and related cash distributions to creditors and stakeholders</b>					<b>7.00</b>	<b>\$3,451.00</b>
<b>Subtotal - Hours and Compensation - Restructuring Advisory Services</b>					<b>18.80</b>	<b>\$9,268.40</b>

**PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488**

**Exhibit C**

**PricewaterhouseCoopers LLP - Financial Advisor**

**Hourly Services and Case Administration Services - Professional Services by Project, Professional and Date**

**For the Period January 1, 2018 through May 31, 2018**

<b>Date</b>	<b>Name</b>	<b>Position</b>	<b>Description</b>	<b>Rate</b>	<b>Hours</b>	<b>Total Compensation</b>
<b><i>Forensic Accounting, Technology &amp; Advisory Services</i></b>						
<u>Accumulation of data and preparation of various schedules, account analyses, and reconciliations</u>						
5/29/2018	Bryan K Humphrey	Partner	0518H009: Preparation of illustrative exhibit for litigation.	\$655.00	1.00	\$655.00
5/30/2018	Bryan K Humphrey	Partner	0518H010: Preparation of illustrative exhibit for litigation.	\$655.00	0.50	\$327.50
Subtotal - Hours and Compensation - Accumulation of data and preparation of various schedules, account analyses, and reconciliations					1.50	\$982.50
<u>Identify and obtain relevant information and documentation</u>						
2/13/2018	Carrie A Vos	Director	0518H011: Prepare response to questions from I. Bodenstein (Trustee) on availability of Forex trading account activity.	\$493.00	0.50	\$246.50
2/14/2018	Carrie A Vos	Director	0518H012: Prepare response to questions from I. Bodenstein (Trustee) on availability of Forex trading account activity.	\$493.00	0.70	\$345.10
4/11/2018	Carrie A Vos	Director	0518H013: Research related to charities past activity.	\$493.00	4.00	\$1,972.00
4/11/2018	Bryan K Humphrey	Partner	0518H014: Preparation for meeting with attorneys from Shaw Fishman to discuss discovery.	\$655.00	0.50	\$327.50
4/11/2018	Carrie A Vos	Director	0518H015: Provide support as requested by Counsel related to Peregrine Charities research related to past analysis activity.	\$493.00	4.00	\$1,972.00
4/12/2018	Carrie A Vos	Director	0518H016: Research related to charities past activity.	\$493.00	5.00	\$2,465.00
4/12/2018	Carrie A Vos	Director	0518H017: Provide support as requested by Counsel related to Peregrine Charities research related to past analysis activity.	\$493.00	5.00	\$2,465.00
4/12/2018	Bryan K Humphrey	Partner	0518H018: Meeting with Shaw Fishman attorneys to discuss discovery response plan.	\$655.00	2.00	\$1,310.00
4/13/2018	Carrie A Vos	Director	0518H019: Research related to charities past activity.	\$493.00	1.00	\$493.00

**PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488**

**Exhibit C**

**PricewaterhouseCoopers LLP - Financial Advisor**

**Hourly Services and Case Administration Services - Professional Services by Project, Professional and Date**

**For the Period January 1, 2018 through May 31, 2018**

<b>Date</b>	<b>Name</b>	<b>Position</b>	<b>Description</b>	<b>Rate</b>	<b>Hours</b>	<b>Total Compensation</b>
4/13/2018	Steven Michael Vagnier	Associate	0518H020: Assist Shaw Fishman in request relating to the PFG Charities review.	\$276.25	3.00	\$828.75
4/13/2018	Carrie A Vos	Director	0518H021: Provide support as requested by Counsel related to Peregrine Charities research related to past analysis activity.	\$493.00	1.00	\$493.00
4/13/2018	Elizabeth Wallace	Senior Associate	0518H022: Meeting with S. Vagnier (PwC) regarding identification of files to remove from workspace at the request of Shaw Fishman.	\$314.50	0.50	\$157.25
4/13/2018	Steven Michael Vagnier	Associate	0518H023: Meeting with E. Wallace (PwC) regarding identification of files to remove from workspace at the request of Shaw Fishman.	\$276.25	0.50	\$138.13
4/16/2018	Bryan K Humphrey	Partner	0518H024: Gather and review of materials responsive to discovery requests from Shaw Fishman.	\$655.00	0.50	\$327.50
4/16/2018	Elizabeth Wallace	Senior Associate	0518H025: Prepare additional Charities searches and search terms.	\$314.50	1.00	\$314.50
4/16/2018	Carrie A Vos	Director	0518H026: Perform additional tasks related to collection of information for Peregrine Charities activity, including collection of additional 1FR's and other data responsive to data request.	\$493.00	1.50	\$739.50
4/16/2018	Steven Michael Vagnier	Associate	0518H027: Assist Shaw Fishman in request relating to the PFG Charities review, regarding identifying new search terms to be used based on language provided in donation agreements and running search term reports as requested.	\$276.25	5.00	\$1,381.25

**PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488****Exhibit C****PricewaterhouseCoopers LLP - Financial Advisor****Hourly Services and Case Administration Services - Professional Services by Project, Professional and Date****For the Period January 1, 2018 through May 31, 2018**

<b>Date</b>	<b>Name</b>	<b>Position</b>	<b>Description</b>	<b>Rate</b>	<b>Hours</b>	<b>Total Compensation</b>
4/16/2018	Steven Michael Vagnier	Associate	0518H028: Continue to assist Shaw Fishman in request relating to the PFG Charities review, regarding identifying new search terms to be used based on language provided in donation agreements and running search term reports as requested.	\$276.25	3.20	\$884.00
4/17/2018	Carrie A Vos	Director	0518H029: Provide support as requested by Counsel related to Peregrine Charities research related to past analysis activity.	\$493.00	1.00	\$493.00
4/17/2018	Steven Michael Vagnier	Associate	0518H030: Assist Shaw Fishman in request relating to the PFG Charities review by conducting small review of the search term responsive documents to identify if any false positives existed.	\$276.25	4.00	\$1,105.00
4/17/2018	Steven Michael Vagnier	Associate	0518H031: Continue to assist Shaw Fishman in request relating to the PFG Charities review by conducting small review of the search term responsive documents to identify if any false positives existed.	\$276.25	2.00	\$552.50
4/18/2018	Bryan K Humphrey	Partner	0518H032: Gather and review of materials responsive to discovery requests from Shaw Fishman.	\$655.00	0.50	\$327.50
4/18/2018	Steven Michael Vagnier	Associate	0518H033: Assist Shaw Fishman in request relating to the PFG Charities review by working with PFG to prepare the documents for loading to Relativity.	\$276.25	1.20	\$331.50
4/19/2018	Steven Michael Vagnier	Associate	0518H034: Assist Shaw Fishman in request relating to the PFG Charities review by working with PFG to prepare the documents for loading to Relativity.	\$276.25	1.50	\$414.38
4/19/2018	Carrie A Vos	Director	0518H035: Provide support as requested by Counsel related to Peregrine Charities research related to past analysis activity.	\$493.00	1.00	\$493.00



**PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488**

**Exhibit C**

**PricewaterhouseCoopers LLP - Financial Advisor**

**Hourly Services and Case Administration Services - Professional Services by Project, Professional and Date**

**For the Period January 1, 2018 through May 31, 2018**

<b>Date</b>	<b>Name</b>	<b>Position</b>	<b>Description</b>	<b>Rate</b>	<b>Hours</b>	<b>Total Compensation</b>
4/20/2018	Bryan K Humphrey	Partner	0518H036: Gather and review of materials responsive to discovery requests from Shaw Fishman.	\$655.00	1.00	\$655.00
4/20/2018	Steven Michael Vagnier	Associate	0518H037: Assist Shaw Fishman in request relating to the PFG Charities review by preparing documents for the loading up to the workspace.	\$276.25	2.80	\$773.50
4/20/2018	Carrie A Vos	Director	0518H038: Perform additional tasks related to collection of information for Peregrine Charities activity, including collection of additional 1FR's and other data responsive to data request.	\$493.00	2.50	\$1,232.50
4/23/2018	Steven Michael Vagnier	Associate	0518H039: Assist Shaw Fishman in request relating to the PFG Charities review by preparing documents for the loading up to the workspace.	\$276.25	1.80	\$497.25
4/23/2018	Carrie A Vos	Director	0518H040: Consolidation and collation of Peregrine Charities related analysis activity.	\$493.00	1.50	\$739.50
4/24/2018	Bryan K Humphrey	Partner	0518H041: Gather and review of materials responsive to discovery requests from Shaw Fishman.	\$655.00	1.00	\$655.00
4/24/2018	Elizabeth Wallace	Senior Associate	0518H042: Update of Charities search term reports.	\$314.50	1.30	\$408.85
4/24/2018	Steven Michael Vagnier	Associate	0518H043: Assist Shaw Fishman in request relating to the PFG Charities review regarding update of search term hits as well as the preparation of search term report analysis.	\$276.25	3.00	\$828.75
4/24/2018	Carrie A Vos	Director	0518H044: Meeting with T. Banich, M. Radke, and D. Doyle (all Shaw Fishman) regarding the Charities analysis performed to date and preparation for upcoming requests.	\$493.00	4.50	\$2,218.50

**PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488**

**Exhibit C**

**PricewaterhouseCoopers LLP - Financial Advisor**

**Hourly Services and Case Administration Services - Professional Services by Project, Professional and Date**

**For the Period January 1, 2018 through May 31, 2018**

<b>Date</b>	<b>Name</b>	<b>Position</b>	<b>Description</b>	<b>Rate</b>	<b>Hours</b>	<b>Total Compensation</b>
4/25/2018	Steven Michael Vagnier	Associate	0518H045: Assist Shaw Fishman in request relating to the PFG Charities review regarding update of search term hits as well as the preparation of search term report analysis.	\$276.25	1.00	\$276.25
4/25/2018	Carrie A Vos	Director	0518H046: Provide support as requested by Counsel related to Peregrine Charities.	\$493.00	1.50	\$739.50
4/30/2018	Bryan K Humphrey	Partner	0518H047: Gather and review of materials responsive to discovery requests from Shaw Fishman.	\$655.00	1.00	\$655.00
5/8/2018	Bryan K Humphrey	Partner	0518H048: Gather and review of materials responsive to discovery requests from Shaw Fishman.	\$655.00	1.00	\$655.00
5/8/2018	Carrie A Vos	Director	0518H049: Provide support as requested by Counsel related to Peregrine Charities.	\$493.00	1.00	\$493.00
5/9/2018	Carrie A Vos	Director	0518H050: Provide support as requested by Counsel related to Peregrine Charities.	\$493.00	1.00	\$493.00
5/15/2018	Bryan K Humphrey	Partner	0518H051: Gather and review of materials responsive to discovery requests from Shaw Fishman.	\$655.00	0.50	\$327.50
5/16/2018	Elizabeth Wallace	Senior Associate	0518H052: Perform quality control of workspaces and prepare reviewers with accounts.	\$314.50	1.00	\$314.50
5/17/2018	Elizabeth Wallace	Senior Associate	0518H053: Perform quality control of workspaces and prepare reviewers with accounts.	\$314.50	1.50	\$471.75
5/24/2018	Carrie A Vos	Director	0518H054: Provide support as requested by Counsel related to Peregrine Charities.	\$493.00	1.00	\$493.00
5/24/2018	Elizabeth Wallace	Senior Associate	0518H055: Review of Evolve regarding active accounts.	\$314.50	0.50	\$157.25

**PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488**

**Exhibit C**

**PricewaterhouseCoopers LLP - Financial Advisor**

**Hourly Services and Case Administration Services - Professional Services by Project, Professional and Date**

**For the Period January 1, 2018 through May 31, 2018**

<b>Date</b>	<b>Name</b>	<b>Position</b>	<b>Description</b>	<b>Rate</b>	<b>Hours</b>	<b>Total Compensation</b>
5/25/2018	Bryan K Humphrey	Partner	0518H056: Gather and review of materials responsive to discovery requests from Shaw Fishman.	\$655.00	1.00	\$655.00
5/25/2018	Carrie A Vos	Director	0518H057: Follow-up of tasks and documents to be consolidated for Peregrine Charities.	\$493.00	1.50	\$739.50
5/26/2018	Carrie A Vos	Director	0518H058: Follow-up of tasks and documents to be consolidated for Peregrine Charities.	\$493.00	2.00	\$986.00
5/28/2018	Carrie A Vos	Director	0518H059: Provide support as requested by Counsel related to Peregrine Charities.	\$493.00	1.00	\$493.00
5/29/2018	Carrie A Vos	Director	0518H060: Provide support as requested by Counsel related to Peregrine Charities.	\$493.00	3.00	\$1,479.00
<b>Subtotal - Hours and Compensation - Identify and obtain relevant information and documentation</b>					<b>89.00</b>	<b>\$38,013.95</b>
<b><i>Subtotal - Hours and Compensation - Forensic Accounting, Technology &amp; Advisory Services</i></b>					<b><i>90.50</i></b>	<b><i>\$38,996.45</i></b>
<b>Subtotal - Hours and Compensation - Hourly Services</b>					<b>109.30</b>	<b>\$48,264.85</b>

**Case Administration**

***Bankruptcy Requirements and Other Court Obligations***

**Monthly, Interim and Final Fee Applications**

3/8/2018	Chad William Brown	Associate (Bankruptcy)	0518H061: Prepare the combined October/November/December 2017 Monthly Fee Application.	\$225.00	2.10	\$472.50
3/9/2018	Chad William Brown	Associate (Bankruptcy)	0518H062: Prepare the Ninth Interim Fee Application for Court submission.	\$225.00	2.80	\$630.00
3/9/2018	Andrea Clark Smith	Director (Bankruptcy)	0518H063: Review of the Ninth Interim Fee Application for Court submission.	\$550.00	0.50	\$275.00

PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488

Exhibit C

PricewaterhouseCoopers LLP - Financial Advisor

Hourly Services and Case Administration Services - Professional Services by Project, Professional and Date

For the Period January 1, 2018 through May 31, 2018

Date	Name	Position	Description	Rate	Hours	Total Compensation
Subtotal - Hours and Compensation - Monthly, Interim and Final Fee Applications					5.40	\$1,377.50
<i>Subtotal - Hours and Compensation - Bankruptcy Requirements and Other Court Obligations</i>					<i>5.40</i>	<i>\$1,377.50</i>
Subtotal - Hours and Compensation - Case Administration					5.40	\$1,377.50
<b>Total - Hours and Compensation - Hourly Services and Case Administration</b>					<b>114.70</b>	<b>\$49,642.35</b>

**EXHIBIT B**

PricewaterhouseCoopers LLP - Financial Advisor

Summary by Project Category and Billing Category

For the Period June 1, 2018 through December 31, 2018

Project Category and Billing Category	Hours	Total Compensation
<b>Hourly Services</b>		
<i>Restructuring Advisory Services</i>		
Monitoring of a cash flow and wind down budget	17.3	\$8,528.90
Tracking sources of value and related cash distributions to creditors and stakeholders	6.8	\$3,352.40
<b><i>Subtotal - Hours and Compensation - Restructuring Advisory Services</i></b>	<b><i>24.1</i></b>	<b><i>\$11,881.30</i></b>
<i>Forensic Accounting, Technology &amp; Advisory Services</i>		
Identify and obtain relevant information and documentation	31.5	\$13,723.80
<b><i>Subtotal - Hours and Compensation - Forensic Accounting, Technology &amp; Advisory Services</i></b>	<b><i>31.5</i></b>	<b><i>\$13,723.80</i></b>
<b><i>Subtotal - Hours and Compensation - Hourly Services</i></b>	<b><i>55.6</i></b>	<b><i>\$25,605.10</i></b>
<b>Case Administration</b>		
<i>Bankruptcy Requirements and Other Court Obligations</i>		
Monthly, Interim and Final Fee Applications	5.1	\$1,472.50
<b><i>Subtotal - Hours and Compensation - Bankruptcy Requirements and Other Court Obligations</i></b>	<b><i>5.1</i></b>	<b><i>\$1,472.50</i></b>
<b><i>Subtotal - Hours and Compensation - Case Administration</i></b>	<b><i>5.1</i></b>	<b><i>\$1,472.50</i></b>
<b>Total - Hours and Compensation Sought for Reimbursement</b>	<b>60.7</b>	<b>\$27,077.60</b>

The "Total Compensation" represents the agreed upon reduction in hourly billing rates for its professionals by fifteen percent (15%) as set forth in the Agreement. Effective April 1, 2013, PwC increased its billing rates and the fees shown above reflect those new billing rates discounted by fifteen percent (15%) per the Agreement.

**PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488** **Exhibit B**  
**PricewaterhouseCoopers LLP - Financial Advisor**  
**Hourly Services and Case Administration - Summary of Hours / Fees by Project and Professional**  
**For the Period June 1, 2018 through December 31, 2018**

Project Category and Professional	Position	Rate	Hours	Total Compensation
<b>Hourly Services</b>				
<i>Restructuring Advisory Services</i>				
Russell J Monco	Director	\$493.00	22.1	\$10,895.30
Keith L Kaiser	Director	\$493.00	2.0	\$986.00
<i>Subtotal - Hours and Compensation - Restructuring Advisory Services</i>			<b>24.1</b>	<b>\$11,881.30</b>
<i>Forensic Accounting, Technology &amp; Advisory Services</i>				
Bryan K Humphrey	Partner	\$655.00	4.5	\$2,947.50
Carrie A Vos	Director	\$493.00	10.5	\$5,176.50
Elizabeth Wallace	Manager	\$374.00	6.9	\$2,580.60
Zhe Hu	Senior Associate	\$314.50	2.4	\$754.80
Steven Michael Vagnier	Senior Associate	\$314.50	6.2	\$1,949.90
Sharath Subramanian	Senior Associate	\$314.50	1.0	\$314.50
<i>Subtotal - Hours and Compensation - Forensic Accounting, Technology &amp; Advisory Services</i>			<b>31.5</b>	<b>\$13,723.80</b>
<b>Case Administration</b>				
<i>Bankruptcy Requirements and Other Court Obligations</i>				
Andrea Clark Smith	Director (Bankruptcy)	\$550.00	1.0	\$550.00
Chad William Brown	Associate (Bankruptcy)	\$225.00	4.1	\$922.50
<i>Subtotal - Hours and Compensation - Bankruptcy Requirements and Other Court Obligations</i>			<b>5.1</b>	<b>\$1,472.50</b>
<b>Total - Hours and Compensation - Hourly Services and Case Administration</b>			<b>60.7</b>	<b>\$27,077.60</b>

The "Total Compensation" represents the agreed upon reduction in hourly billing rates for its professionals by fifteen percent (15%) as set forth in the Agreement. Effective April 1, 2013, PwC increased its billing rates and the fees shown above reflect those new billing rates discounted by fifteen percent (15%) per the Agreement.

**PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488**

**Exhibit C**

**PricewaterhouseCoopers LLP - Financial Advisor**

**Hourly Services and Case Administration Services - Professional Services by Project, Professional and Date**

**For the Period June 1, 2018 through December 31, 2018**

<b>Date</b>	<b>Name</b>	<b>Position</b>	<b>Description</b>	<b>Rate</b>	<b>Hours</b>	<b>Total Compensation</b>
<b>Hourly Services</b>						
<i>Restructuring Advisory Services</i>						
<u>Monitoring of a cash flow and wind down budget</u>						
7/2/2018	Russell J Monco	Director	1218H001: Review and update of cash tracker and preparation of cash summary (May).	\$493.00	3.10	\$1,528.30
7/23/2018	Russell J Monco	Director	1218H002: Review and update of cash tracker and preparation of cash summary (June).	\$493.00	2.90	\$1,429.70
9/27/2018	Russell J Monco	Director	1218H003: Review and update of cash tracker and preparation of cash summary (July).	\$493.00	2.50	\$1,232.50
11/6/2018	Russell J Monco	Director	1218H004: Review and update of cash tracker and preparation of cash summary (September).	\$493.00	2.70	\$1,331.10
11/21/2018	Russell J Monco	Director	1218H005: Review and update of cash tracker and preparation of cash summary (October).	\$493.00	3.00	\$1,479.00
12/21/2018	Russell J Monco	Director	1218H006: Review and update of cash tracker and preparation of cash summary (November).	\$493.00	3.10	\$1,528.30
<b>Subtotal - Hours and Compensation - Monitoring of a cash flow and wind down budget</b>					<b>17.30</b>	<b>\$8,528.90</b>
<u>Tracking sources of value and related cash distributions to creditors and stakeholders</u>						
7/30/2018	Russell J Monco	Director	1218H007: Preparation and review of catch up distribution.	\$493.00	1.00	\$493.00
9/6/2018	Keith L Kaiser	Director	1218H008: Review of select precious metals claims and related e-mails describing why claimants were not paid.	\$493.00	2.00	\$986.00
10/16/2018	Russell J Monco	Director	1218H009: Preparation and review of catch up distribution (Neural).	\$493.00	1.50	\$739.50



**PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488**

**Exhibit C**

**PricewaterhouseCoopers LLP - Financial Advisor**

**Hourly Services and Case Administration Services - Professional Services by Project, Professional and Date**

**For the Period June 1, 2018 through December 31, 2018**

<b>Date</b>	<b>Name</b>	<b>Position</b>	<b>Description</b>	<b>Rate</b>	<b>Hours</b>	<b>Total Compensation</b>
11/14/2018	Russell J Monco	Director	1218H010: Preparation and review of catch up distribution (Paech & Others).	\$493.00	2.30	\$1,133.90
Subtotal - Hours and Compensation - Tracking sources of value and related cash distributions to creditors and stakeholders					6.80	\$3,352.40
<b><i>Subtotal - Hours and Compensation - Restructuring Advisory Services</i></b>					<b>24.10</b>	<b>\$11,881.30</b>
<b><i>Forensic Accounting, Technology &amp; Advisory Services</i></b>						
<u>Identify and obtain relevant information and documentation</u>						
7/26/2018	Sharath Subramanaian	Senior Associate	1218H011: Provide support as requested by Counsel related to Peregrine Charities.	\$314.50	1.00	\$314.50
10/22/2018	Carrie A Vos	Director	1218H012: Provide support as requested by Counsel related to Peregrine Charities.	\$493.00	1.00	\$493.00
11/19/2018	Bryan K Humphrey	Partner	1218H013: Gather and review of materials responsive to discovery requests related to Peregrine Charities.	\$655.00	0.50	\$327.50
11/19/2018	Carrie A Vos	Director	1218H014: Provide support as requested by Counsel related to Peregrine Charities.	\$493.00	0.20	\$98.60
11/20/2018	Bryan K Humphrey	Partner	1218H015: Gather and review of materials responsive to discovery requests related to Peregrine Charities.	\$655.00	0.50	\$327.50
11/20/2018	Carrie A Vos	Director	1218H016: Provide support as requested by Counsel related to Peregrine Charities.	\$493.00	0.20	\$98.60
11/21/2018	Carrie A Vos	Director	1218H017: Provide support as requested by Counsel related to Peregrine Charities.	\$493.00	0.60	\$295.80
11/26/2018	Bryan K Humphrey	Partner	1218H018: Gather and review of materials responsive to discovery requests related to Peregrine Charities.	\$655.00	0.50	\$327.50

**PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488**

**Exhibit C**

**PricewaterhouseCoopers LLP - Financial Advisor**

**Hourly Services and Case Administration Services - Professional Services by Project, Professional and Date**

**For the Period June 1, 2018 through December 31, 2018**

<b>Date</b>	<b>Name</b>	<b>Position</b>	<b>Description</b>	<b>Rate</b>	<b>Hours</b>	<b>Total Compensation</b>
11/26/2018	Carrie A Vos	Director	1218H019: Provide support as requested by Counsel related to Peregrine Charities.	\$493.00	2.00	\$986.00
11/26/2018	Elizabeth Wallace	Manager	1218H020: Prepare communication for Fronteo regarding set-up of user accounts.	\$374.00	0.50	\$187.00
11/27/2018	Carrie A Vos	Director	1218H021: Provide support as requested by Counsel related to Peregrine Charities.	\$493.00	1.00	\$493.00
11/27/2018	Steven Michael Vagnier	Senior Associate	1218H022: Assist Shaw Fishman in request relating to the PFG Charities review regarding update of search term hits as well as the preparation of search term report analysis.	\$314.50	6.20	\$1,949.90
11/29/2018	Carrie A Vos	Director	1218H023: Provide support as requested by Counsel related to Peregrine Charities.	\$493.00	0.50	\$246.50
12/3/2018	Elizabeth Wallace	Manager	1218H024: Perform analysis of documents in charities workspace versus PFG review workspace.	\$374.00	2.40	\$897.60
12/3/2018	Carrie A Vos	Director	1218H025: Provide support as requested by Counsel related to Peregrine Charities.	\$493.00	1.00	\$493.00
12/4/2018	Bryan K Humphrey	Partner	1218H026: Gather and review of materials responsive to discovery requests related to Peregrine Charities.	\$655.00	1.00	\$655.00
12/4/2018	Elizabeth Wallace	Manager	1218H027: Perform quality control of new terms.	\$374.00	3.40	\$1,271.60
12/4/2018	Carrie A Vos	Director	1218H028: Provide support as requested by Counsel related to Peregrine Charities.	\$493.00	1.00	\$493.00
12/4/2018	Zhe Hu	Senior Associate	1218H029: Provide support as requested by Counsel related to Peregrine Charities.	\$314.50	2.40	\$754.80

**PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488**

**Exhibit C**

**PricewaterhouseCoopers LLP - Financial Advisor**

**Hourly Services and Case Administration Services - Professional Services by Project, Professional and Date**

**For the Period June 1, 2018 through December 31, 2018**

<b>Date</b>	<b>Name</b>	<b>Position</b>	<b>Description</b>	<b>Rate</b>	<b>Hours</b>	<b>Total Compensation</b>
12/5/2018	Elizabeth Wallace	Manager	1218H030: Perform quality control of documentation move.	\$374.00	0.60	\$224.40
12/5/2018	Carrie A Vos	Director	1218H031: Provide support as requested by Counsel related to Peregrine Charities.	\$493.00	1.50	\$739.50
12/6/2018	Bryan K Humphrey	Partner	1218H032: Gather and review of materials responsive to discovery requests related to Peregrine Charities.	\$655.00	1.00	\$655.00
12/6/2018	Carrie A Vos	Director	1218H033: Provide support as requested by Counsel related to Peregrine Charities.	\$493.00	1.00	\$493.00
12/7/2018	Carrie A Vos	Director	1218H034: Provide support as requested by Counsel related to Peregrine Charities.	\$493.00	0.50	\$246.50
12/13/2018	Bryan K Humphrey	Partner	1218H035: Gather and review of materials responsive to discovery requests related to Peregrine Charities.	\$655.00	1.00	\$655.00
<b>Subtotal - Hours and Compensation - Identify and obtain relevant information and documentation</b>					<b>31.50</b>	<b>\$13,723.80</b>
<b><i>Subtotal - Hours and Compensation - Forensic Accounting, Technology &amp; Advisory Services</i></b>					<b><i>31.50</i></b>	<b><i>\$13,723.80</i></b>
<b>Subtotal - Hours and Compensation - Hourly Services</b>					<b>55.60</b>	<b>\$25,605.10</b>
<b>Case Administration</b>						
<b><i>Bankruptcy Requirements and Other Court Obligations</i></b>						
<b><u>Monthly, Interim and Final Fee Applications</u></b>						
6/16/2018	Chad William Brown	Associate (Bankruptcy)	1218H036: Prepare the combined January through May 2018 Monthly Fee Application.	\$225.00	4.10	\$922.50
6/25/2018	Andrea Clark Smith	Director (Bankruptcy)	1218H037: Review the combined January through May 2018 Monthly Fee Application.	\$550.00	1.00	\$550.00
<b>Subtotal - Hours and Compensation - Monthly, Interim and Final Fee Applications</b>					<b>5.10</b>	<b>\$1,472.50</b>

PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488

Exhibit C

PricewaterhouseCoopers LLP - Financial Advisor

Hourly Services and Case Administration Services - Professional Services by Project, Professional and Date

For the Period June 1, 2018 through December 31, 2018

Date	Name	Position	Description	Rate	Hours	Total Compensation
<i>Subtotal - Hours and Compensation - Bankruptcy Requirements and Other Court Obligations</i>					<i>5.10</i>	<i>\$1,472.50</i>
<b>Subtotal - Hours and Compensation - Case Administration</b>					<b>5.10</b>	<b>\$1,472.50</b>
<b>Total - Hours and Compensation - Hourly Services and Case Administration</b>					<b>60.70</b>	<b>\$27,077.60</b>

**EXHIBIT C**

PricewaterhouseCoopers LLP - Financial Advisor

Summary by Project Category and Billing Category

For the Period January 1, 2019 through May 31, 2019

Project Category and Billing Category	Hours	Total Compensation
<b>Hourly Services</b>		
<i>Restructuring Advisory Services</i>		
Monitoring of a cash flow and wind down budget	9.0	\$4,437.00
Tracking sources of value and related cash distributions to creditors and stakeholders	10.5	\$5,176.50
<b><i>Subtotal - Hours and Compensation - Restructuring Advisory Services</i></b>	<b><i>19.5</i></b>	<b><i>\$9,613.50</i></b>
<i>Forensic Accounting, Technology &amp; Advisory Services</i>		
Analysis related to identification and preservation of electronically stored information and other information technology assets	17.2	\$7,901.90
Inventory available electronic sources of information (“ESI”)	41.6	\$14,859.33
<b><i>Subtotal - Hours and Compensation - Forensic Accounting, Technology &amp; Advisory Services</i></b>	<b><i>58.8</i></b>	<b><i>\$22,761.23</i></b>
<b><i>Subtotal - Hours and Compensation - Hourly Services</i></b>	<b><i>78.3</i></b>	<b><i>\$32,374.73</i></b>
<b>Case Administration</b>		
<i>Bankruptcy Requirements and Other Court Obligations</i>		
Monthly, Interim and Final Fee Applications	8.4	\$2,501.00
<b><i>Subtotal - Hours and Compensation - Bankruptcy Requirements and Other Court Obligations</i></b>	<b><i>8.4</i></b>	<b><i>\$2,501.00</i></b>
<b><i>Subtotal - Hours and Compensation - Case Administration</i></b>	<b><i>8.4</i></b>	<b><i>\$2,501.00</i></b>
<b>Total - Hours and Compensation Sought for Reimbursement</b>	<b>86.7</b>	<b>\$34,875.73</b>

The "Total Compensation" represents the agreed upon reduction in hourly billing rates for its professionals by fifteen percent (15%) as set forth in the Agreement. Effective April 1, 2013, PwC increased its billing rates and the fees shown above reflect those new billing rates discounted by fifteen percent (15%) per the Agreement.

**PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488** **Exhibit B**  
**PricewaterhouseCoopers LLP - Financial Advisor**  
**Hourly Services and Case Administration - Summary of Hours / Fees by Project and Professional**  
**For the Period January 1, 2019 through May 31, 2019**

Project Category and Professional	Position	Rate	Hours	Total Compensation
<b>Hourly Services</b>				
<i>Restructuring Advisory Services</i>				
Russell J Monco	Director	\$493.00	19.5	\$9,613.50
<b>Subtotal - Hours and Compensation - Restructuring Advisory Services</b>			<b>19.5</b>	<b>\$9,613.50</b>
<i>Forensic Accounting, Technology &amp; Advisory Services</i>				
Bryan K Humphrey	Partner	\$655.00	7.5	\$4,912.50
Carrie A Vos	Director	\$493.00	5.3	\$2,612.90
Tony Hong	Manager	\$382.50	6.0	\$2,295.00
Steven Sanabria	Senior Associate	\$374.00	2.0	\$748.00
Elizabeth Wallace	Manager	\$374.00	15.7	\$5,871.80
Zhe Hu	Senior Associate	\$314.50	4.2	\$1,320.90
Elysha Marie Castle	Associate	\$276.25	10.1	\$2,790.13
Alexander G Agra	Associate	\$276.25	8.0	\$2,210.00
<b>Subtotal - Hours and Compensation - Forensic Accounting, Technology &amp; Advisory Services</b>			<b>58.8</b>	<b>\$22,761.23</b>
<b>Case Administration</b>				
<i>Bankruptcy Requirements and Other Court Obligations</i>				
Andrea Clark Smith	Director (Bankruptcy)	\$550.00	0.9	\$495.00
Chad William Brown	Senior Associate (Bankruptcy)	\$290.00	4.9	\$1,421.00
Nanette J Kortuem	Associate (Bankruptcy)	\$225.00	2.6	\$585.00
<b>Subtotal - Hours and Compensation - Bankruptcy Requirements and Other Court Obligations</b>			<b>8.4</b>	<b>\$2,501.00</b>
<b>Total - Hours and Compensation - Hourly Services and Case Administration</b>			<b>86.7</b>	<b>\$34,875.73</b>

The "Total Compensation" represents the agreed upon reduction in hourly billing rates for its professionals by fifteen percent (15%) as set forth in the Agreement. Effective April 1, 2013, PwC increased its billing rates and the fees shown above reflect those new billing rates discounted by fifteen percent (15%) per the Agreement.

PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488

Exhibit C

PricewaterhouseCoopers LLP - Financial Advisor

Hourly Services and Case Administration Services - Professional Services by Project, Professional and Date

For the Period January 1, 2019 through May 31, 2019

Date	Name	Position	Description	Rate	Hours	Total Compensation
<b>Hourly Services</b>						
<i>Restructuring Advisory Services</i>						
<u>Monitoring of a cash flow and wind down budget</u>						
1/25/2019	Russell J Monco	Director	0519H001: Review and update the cash tracker and preparation of cash summary.	\$493.00	3.00	\$1,479.00
2/19/2019	Russell J Monco	Director	0519H002: Review and update the cash tracker and preparation of cash summary.	\$493.00	3.00	\$1,479.00
3/25/2019	Russell J Monco	Director	0519H003: Review and update the cash tracker and preparation of cash summary.	\$493.00	3.00	\$1,479.00
Subtotal - Hours and Compensation - Monitoring of a cash flow and wind down budget					9.00	\$4,437.00
<u>Tracking sources of value and related cash distributions to creditors and stakeholders</u>						
2/7/2019	Russell J Monco	Director	0519H004: Review of remaining 4D deficiency claim including Allowed Claims, Allowed Claims yet haven't cashed a check so haven't rec'd the full distribution and no allowed claim..	\$493.00	3.50	\$1,725.50
2/8/2019	Russell J Monco	Director	0519H005: Review of remaining 4D deficiency claim including Allowed Claims, Allowed Claims yet haven't cashed a check so haven't rec'd the full distribution and no allowed claim..	\$493.00	7.00	\$3,451.00
Subtotal - Hours and Compensation - Tracking sources of value and related cash distributions to creditors and stakeholders					10.50	\$5,176.50
<b><i>Subtotal - Hours and Compensation - Restructuring Advisory Services</i></b>					<b>19.50</b>	<b>\$9,613.50</b>



**PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488**

**Exhibit C**

**PricewaterhouseCoopers LLP - Financial Advisor**

**Hourly Services and Case Administration Services - Professional Services by Project, Professional and Date**

**For the Period January 1, 2019 through May 31, 2019**

<b>Date</b>	<b>Name</b>	<b>Position</b>	<b>Description</b>	<b>Rate</b>	<b>Hours</b>	<b>Total Compensation</b>
<b><i>Forensic Accounting, Technology &amp; Advisory Services</i></b>						
<u>Analysis related to identification and preservation of electronically stored information and other information technology assets</u>						
1/2/2019	Elizabeth Wallace	Manager	0519H006: Gather and review of materials responsive to discovery requests related to Peregrine Charities.	\$374.00	0.20	\$74.80
1/3/2019	Carrie A Vos	Director	0519H007: Gather and review of materials responsive to discovery requests related to Peregrine Charities.	\$493.00	0.50	\$246.50
1/7/2019	Bryan K Humphrey	Partner	0519H008: Gather and review of materials responsive to discovery requests related to Peregrine Charities.	\$655.00	0.50	\$327.50
1/16/2019	Bryan K Humphrey	Partner	0519H009: Gather and review of materials responsive to discovery requests related to Peregrine Charities.	\$655.00	0.50	\$327.50
1/25/2019	Bryan K Humphrey	Partner	0519H010: Gather and review of materials responsive to discovery requests related to Peregrine Charities.	\$655.00	0.50	\$327.50
2/6/2019	Bryan K Humphrey	Partner	0519H011: Gather and review of materials responsive to discovery requests related to Peregrine Charities.	\$655.00	0.50	\$327.50
2/7/2019	Bryan K Humphrey	Partner	0519H012: Gather and review of materials responsive to discovery requests related to Peregrine Charities.	\$655.00	1.00	\$655.00
2/8/2019	Carrie A Vos	Director	0519H013: Gather and review of materials responsive to discovery requests related to Peregrine Charities.	\$493.00	0.20	\$98.60
2/15/2019	Bryan K Humphrey	Partner	0519H014: Gather and review of materials responsive to discovery requests related to Peregrine Charities.	\$655.00	0.50	\$327.50
2/16/2019	Elizabeth Wallace	Manager	0519H015: Gather and review of materials responsive to discovery requests related to Peregrine Charities.	\$374.00	0.30	\$112.20

**PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488**

**Exhibit C**

**PricewaterhouseCoopers LLP - Financial Advisor**

**Hourly Services and Case Administration Services - Professional Services by Project, Professional and Date**

**For the Period January 1, 2019 through May 31, 2019**

<b>Date</b>	<b>Name</b>	<b>Position</b>	<b>Description</b>	<b>Rate</b>	<b>Hours</b>	<b>Total Compensation</b>
2/18/2019	Carrie A Vos	Director	0519H016: Gather and review of materials responsive to discovery requests related to Peregrine Charities.	\$493.00	3.00	\$1,479.00
2/18/2019	Elizabeth Wallace	Manager	0519H017: Gather and review of materials responsive to discovery requests related to Peregrine Charities.	\$374.00	2.50	\$935.00
2/18/2019	Zhe Hu	Senior Associate	0519H018: Gather and review of materials responsive to discovery requests related to Peregrine Charities.	\$314.50	1.60	\$503.20
2/19/2019	Elizabeth Wallace	Manager	0519H019: Gather and review of materials responsive to discovery requests related to Peregrine Charities.	\$374.00	1.50	\$561.00
2/19/2019	Zhe Hu	Senior Associate	0519H020: Gather and review of materials responsive to discovery requests related to Peregrine Charities.	\$314.50	1.60	\$503.20
2/21/2019	Bryan K Humphrey	Partner	0519H021: Gather and review of materials responsive to discovery requests related to Peregrine Charities.	\$655.00	0.50	\$327.50
2/21/2019	Carrie A Vos	Director	0519H022: Gather and review of materials responsive to discovery requests related to Peregrine Charities.	\$493.00	0.80	\$394.40
2/27/2019	Elizabeth Wallace	Manager	0519H023: Gather and review of materials responsive to discovery requests related to Peregrine Charities.	\$374.00	1.00	\$374.00
<b>Subtotal - Hours and Compensation - Analysis related to identification and preservation of electronically stored information and other information technology assets</b>					<b>17.20</b>	<b>\$7,901.90</b>
<b>Inventory available electronic sources of information (“ESI”)</b>						
2/14/2019	Elizabeth Wallace	Manager	0519H024: Identify options for data archival from Relativity workspaces by Fronteo.	\$374.00	0.60	\$224.40
2/27/2019	Bryan K Humphrey	Partner	0519H025: Identify options for data archival from Relativity workspaces by Fronteo.	\$655.00	1.00	\$655.00

**PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488**

**Exhibit C**

**PricewaterhouseCoopers LLP - Financial Advisor**

**Hourly Services and Case Administration Services - Professional Services by Project, Professional and Date**

**For the Period January 1, 2019 through May 31, 2019**

<b>Date</b>	<b>Name</b>	<b>Position</b>	<b>Description</b>	<b>Rate</b>	<b>Hours</b>	<b>Total Compensation</b>
3/8/2019	Zhe Hu	Senior Associate	0519H026: Review export of Relativity workspaces by Fronteo.	\$314.50	1.00	\$314.50
3/13/2019	Bryan K Humphrey	Partner	0519H027: Review export of Relativity workspaces by Fronteo.	\$655.00	0.50	\$327.50
3/13/2019	Elizabeth Wallace	Manager	0519H028: Review export of Relativity workspaces by Fronteo.	\$374.00	0.50	\$187.00
3/20/2019	Elizabeth Wallace	Manager	0519H029: Review export of Relativity workspaces by Fronteo.	\$374.00	0.50	\$187.00
4/8/2019	Alexander G Agra	Associate	0519H030: Identify and prepare electronic evidence for return to Fox Rothschild.	\$276.25	0.50	\$138.13
4/9/2019	Tony Hong	Manager	0519H031: Identify and prepare electronic evidence for return to Fox Rothschild.	\$382.50	4.60	\$1,759.50
4/9/2019	Alexander G Agra	Associate	0519H032: Identify and prepare electronic evidence for return to Fox Rothschild.	\$276.25	2.00	\$552.50
4/10/2019	Tony Hong	Manager	0519H033: Identify and prepare electronic evidence for return to Fox Rothschild.	\$382.50	1.40	\$535.50
4/10/2019	Elizabeth Wallace	Manager	0519H034: Identify and prepare electronic evidence for return to Fox Rothschild.	\$374.00	0.50	\$187.00
4/10/2019	Alexander G Agra	Associate	0519H035: Identify and prepare electronic evidence for return to Fox Rothschild.	\$276.25	5.50	\$1,519.38
4/11/2019	Bryan K Humphrey	Partner	0519H036: Identify and prepare electronic evidence for return to Fox Rothschild.	\$655.00	1.00	\$655.00

**PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488**

**Exhibit C**

**PricewaterhouseCoopers LLP - Financial Advisor**

**Hourly Services and Case Administration Services - Professional Services by Project, Professional and Date**

**For the Period January 1, 2019 through May 31, 2019**

<b>Date</b>	<b>Name</b>	<b>Position</b>	<b>Description</b>	<b>Rate</b>	<b>Hours</b>	<b>Total Compensation</b>
4/11/2019	Carrie A Vos	Director	0519H037: Identify and prepare electronic evidence for return to Fox Rothschild.	\$493.00	0.50	\$246.50
4/11/2019	Elizabeth Wallace	Manager	0519H038: Identify and prepare electronic evidence for return to Fox Rothschild.	\$374.00	0.50	\$187.00
4/15/2019	Carrie A Vos	Director	0519H039: Identify and prepare electronic evidence for return to Fox Rothschild.	\$493.00	0.30	\$147.90
4/15/2019	Elizabeth Wallace	Manager	0519H040: Identify and prepare electronic evidence for return to Fox Rothschild.	\$374.00	1.50	\$561.00
4/16/2019	Bryan K Humphrey	Partner	0519H041: Identify and prepare electronic evidence for return to Fox Rothschild.	\$655.00	1.00	\$655.00
4/24/2019	Elizabeth Wallace	Manager	0519H042: Identify and prepare electronic evidence for return to Fox Rothschild.	\$374.00	1.50	\$561.00
4/25/2019	Elizabeth Wallace	Manager	0519H043: Identify and prepare electronic evidence for return to Fox Rothschild.	\$374.00	0.50	\$187.00
5/2/2019	Elizabeth Wallace	Manager	0519H044: Identify and prepare electronic evidence for return to Fox Rothschild.	\$374.00	0.60	\$224.40
5/2/2019	Elysha Marie Castle	Associate	0519H045: Identify and prepare electronic evidence for return to Fox Rothschild.	\$276.25	1.00	\$276.25
5/7/2019	Elysha Marie Castle	Associate	0519H046: Identify and prepare electronic evidence for return to Fox Rothschild.	\$276.25	2.50	\$690.63
5/7/2019	Elizabeth Wallace	Manager	0519H047: Identify and prepare electronic evidence for return to Fox Rothschild.	\$374.00	1.00	\$374.00

**PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488**

**Exhibit C**

**PricewaterhouseCoopers LLP - Financial Advisor**

**Hourly Services and Case Administration Services - Professional Services by Project, Professional and Date**

**For the Period January 1, 2019 through May 31, 2019**

<b>Date</b>	<b>Name</b>	<b>Position</b>	<b>Description</b>	<b>Rate</b>	<b>Hours</b>	<b>Total Compensation</b>
5/9/2019	Elizabeth Wallace	Manager	0519H048: Identify and prepare electronic evidence for return to Fox Rothschild.	\$374.00	0.50	\$187.00
5/9/2019	Elysha Marie Castle	Associate	0519H049: Identify and prepare electronic evidence for return to Fox Rothschild.	\$276.25	3.10	\$856.38
5/14/2019	Elysha Marie Castle	Associate	0519H050: Identify and prepare electronic evidence for return to Fox Rothschild.	\$276.25	3.50	\$966.88
5/14/2019	Elizabeth Wallace	Manager	0519H051: Identify and prepare electronic evidence for return to Fox Rothschild.	\$374.00	1.00	\$374.00
5/14/2019	Steven Sanabria	Senior Associate	0519H052: Identify and prepare electronic evidence for return to Fox Rothschild.	\$374.00	2.00	\$748.00
5/20/2019	Elizabeth Wallace	Manager	0519H053: Identify and prepare electronic evidence for return to Fox Rothschild.	\$374.00	0.50	\$187.00
5/23/2019	Elizabeth Wallace	Manager	0519H054: Identify and prepare electronic evidence for return to Fox Rothschild.	\$374.00	0.50	\$187.00
<b>Subtotal - Hours and Compensation - Inventory available electronic sources of information ("ESI")</b>					<b>41.60</b>	<b>\$14,859.33</b>
<b><i>Subtotal - Hours and Compensation - Forensic Accounting, Technology &amp; Advisory Services</i></b>					<b>58.80</b>	<b>\$22,761.23</b>
<b>Subtotal - Hours and Compensation - Hourly Services</b>					<b>78.30</b>	<b>\$32,374.73</b>

PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488

Exhibit C

PricewaterhouseCoopers LLP - Financial Advisor

Hourly Services and Case Administration Services - Professional Services by Project, Professional and Date

For the Period January 1, 2019 through May 31, 2019

Date	Name	Position	Description	Rate	Hours	Total Compensation
<b>Case Administration</b>						
<i>Bankruptcy Requirements and Other Court Obligations</i>						
<u>Monthly, Interim and Final Fee Applications</u>						
1/30/2019	Chad William Brown	Senior Associate (Bankruptcy)	0519H055: Prepare the combined June through September 2018 Monthly Fee Application.	\$290.00	1.00	\$290.00
2/18/2019	Chad William Brown	Senior Associate (Bankruptcy)	0519H056: Prepare the combined June through December 2018 Monthly Fee Application.	\$290.00	1.60	\$464.00
2/19/2019	Chad William Brown	Senior Associate (Bankruptcy)	0519H057: Prepare the combined June through December 2018 Monthly Fee Application.	\$290.00	2.30	\$667.00
5/9/2019	Andrea Clark Smith	Director (Bankruptcy)	0519H058: Review January through April 2019 Monthly Fee Application and follow up with the respective client teams regarding information needed for completion.	\$550.00	0.90	\$495.00
5/30/2019	Nanette J Kortuem	Associate (Bankruptcy)	0519H059: Prepare the combined January through May 2019 Monthly Fee Application.	\$225.00	2.60	\$585.00
Subtotal - Hours and Compensation - Monthly, Interim and Final Fee Applications					8.40	\$2,501.00
<i>Subtotal - Hours and Compensation - Bankruptcy Requirements and Other Court Obligations</i>					<b>8.40</b>	<b>\$2,501.00</b>
<b>Subtotal - Hours and Compensation - Case Administration</b>					<b>8.40</b>	<b>\$2,501.00</b>
<b>Total - Hours and Compensation - Hourly Services and Case Administration</b>					<b>86.70</b>	<b>\$34,875.73</b>

**PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488**  
**PricewaterhouseCoopers LLP - Financial Advisor**  
**Summary of Expenditures by Project and Type**  
**For the Period January 1, 2019 through May 31, 2019**

**Exhibit D**

<b>Transaction Type by Project</b>	<b>Total Expenditures</b>
<b>Hourly Services</b>	
<i>Forensic Accounting, Technology &amp; Advisory Services</i>	
Server Supplies	\$55.28
<i>Subtotal - Expenditures Sought for Forensic Accounting, Technology &amp; Advisory Services</i>	<i>\$55.28</i>
<b>Subtotal - Expenditures Sought for Hourly Services</b>	<b>\$55.28</b>
<b>Total - Expenditures Sought for Reimbursement</b>	<b>\$55.28</b>

PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488

Exhibit E

PricewaterhouseCoopers LLP - Financial Advisor

Detail of Expenditures by Project, Professional and Date

For the Period January 1, 2019 through May 31, 2019

Date	Name	Transaction Type	Description	Total Expenditures
<b>Hourly Services</b>				
<i>Forensic Accounting, Technology &amp; Advisory Services</i>				
3/31/2019	PricewaterhouseCoopers	Server Supplies	0519E001: Purchase of hard drive.	\$55.28
<i>Subtotal - Expenditures Sought for Forensic Accounting, Technology &amp; Advisory Services</i>				<b>\$55.28</b>
<b>Subtotal - Expenditures Sought for Hourly Services</b>				<b>\$55.28</b>
<b>Total - Expenditures Sought for Reimbursement</b>				<b>\$55.28</b>

PwC's standard practice is to treat certain expenses as having been incurred when such obligations are recorded and reflected as payable in PwC's accounting system. Accordingly, reimbursement for certain disbursements sought in connection with this statement may be on account of expenses incurred during the prior fee period.



**EXHIBIT D**

PricewaterhouseCoopers LLP - Financial Advisor

Summary by Project Category and Billing Category

For the Period June 1, 2019 through January 31, 2020

Project Category and Billing Category	Hours	Total Compensation
<b>Hourly Services</b>		
<i>Restructuring Advisory Services</i>		
Monitoring of a cash flow and wind down budget	11.5	\$5,669.50
Tracking sources of value and related cash distributions to creditors and stakeholders	71.5	\$35,249.50
<b><i>Subtotal - Hours and Compensation - Restructuring Advisory Services</i></b>	<b>83.0</b>	<b>\$40,919.00</b>
<b><i>Subtotal - Hours and Compensation - Hourly Services</i></b>	<b>83.0</b>	<b>\$40,919.00</b>
<b>Case Administration</b>		
<i>Bankruptcy Requirements and Other Court Obligations</i>		
Monthly, Interim and Final Fee Applications	4.0	\$1,550.00
<b><i>Subtotal - Hours and Compensation - Bankruptcy Requirements and Other Court Obligations</i></b>	<b>4.0</b>	<b>\$1,550.00</b>
<b><i>Subtotal - Hours and Compensation - Case Administration</i></b>	<b>4.0</b>	<b>\$1,550.00</b>
<b>Total - Hours and Compensation Sought for Reimbursement</b>	<b>87.0</b>	<b>\$42,469.00</b>

The "Total Compensation" represents the agreed upon reduction in hourly billing rates for its professionals by fifteen percent (15%) as set forth in the Agreement. Effective April 1, 2013, PwC increased its billing rates and the fees shown above reflect those new billing rates discounted by fifteen percent (15%) per the Agreement.

**PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488**

**Exhibit B**

**PricewaterhouseCoopers LLP - Financial Advisor**

**Hourly Services and Case Administration - Summary of Hours / Fees by Project and Professional For the Period June 1, 2019 through January 31, 2020**

<b>Project Category and Professional</b>	<b>Position</b>	<b>Rate</b>	<b>Hours</b>	<b>Total Compensation</b>
<b>Hourly Services</b>				
<i>Restructuring Advisory Services</i>				
Russell J Monco	Director	\$493.00	56.0	\$27,608.00
Keith L Kaiser	Director	\$493.00	27.0	\$13,311.00
<i>Subtotal - Hours and Compensation - Restructuring Advisory Services</i>			<b>83.0</b>	<b>\$40,919.00</b>
<b>Case Administration</b>				
<i>Bankruptcy Requirements and Other Court Obligations</i>				
Andrea Clark Smith	Director (Bankruptcy)	\$550.00	2.0	\$1,100.00
Nanette J Kortuem	Associate (Bankruptcy)	\$225.00	2.0	\$450.00
<i>Subtotal - Hours and Compensation - Bankruptcy Requirements and Other Court Obligations</i>			<b>4.0</b>	<b>\$1,550.00</b>
<b>Total - Hours and Compensation - Hourly Services and Case Administration</b>			<b>87.0</b>	<b>\$42,469.00</b>

The "Total Compensation" represents the agreed upon reduction in hourly billing rates for its professionals by fifteen percent (15%) as set forth in the Agreement. Effective April 1, 2013, PwC increased its billing rates and the fees shown above reflect those new billing rates discounted by fifteen percent (15%) per the Agreement.

**PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488**

**Exhibit C**

**PricewaterhouseCoopers LLP - Financial Advisor**

**Hourly Services and Case Administration Services - Professional Services by Project, Professional and Date**

**For the Period June 1, 2019 through January 31, 2020**

<b>Date</b>	<b>Name</b>	<b>Position</b>	<b>Description</b>	<b>Rate</b>	<b>Hours</b>	<b>Total Compensation</b>
<b>Hourly Services</b>						
<i>Restructuring Advisory Services</i>						
<u>Monitoring of a cash flow and wind down budget</u>						
9/27/2019	Russell J Monco	Director	0120H001: Review and update of cash tracker and preparation of cash summary.	\$493.00	2.00	\$986.00
10/30/2019	Russell J Monco	Director	0120H002: Review and update of cash tracker and preparation of cash summary.	\$493.00	2.50	\$1,232.50
11/22/2019	Russell J Monco	Director	0120H003: Review and update of cash tracker and preparation of cash summary.	\$493.00	2.00	\$986.00
1/2/2020	Russell J Monco	Director	0120H004: Review and update of cash tracker and preparation of cash summary.	\$493.00	3.00	\$1,479.00
1/16/2020	Russell J Monco	Director	0120H005: Review and update of cash tracker and preparation of cash summary.	\$493.00	2.00	\$986.00
<b>Subtotal - Hours and Compensation - Monitoring of a cash flow and wind down budget</b>					<b>11.50</b>	<b>\$5,669.50</b>
<u>Tracking sources of value and related cash distributions to creditors and stakeholders</u>						
9/22/2019	Keith L Kaiser	Director	0120H006: Analysis for distribution presentation.	\$493.00	1.00	\$493.00
9/23/2019	Keith L Kaiser	Director	0120H007: Analysis for distribution presentation.	\$493.00	1.00	\$493.00
9/24/2019	Keith L Kaiser	Director	0120H008: Development of Distribution presentation for CFTC.	\$493.00	2.00	\$986.00
9/25/2019	Keith L Kaiser	Director	0120H009: Development of Distribution presentation for CFTC.	\$493.00	2.00	\$986.00
9/26/2019	Russell J Monco	Director	0120H010: Development of distribution presertation.	\$493.00	3.00	\$1,479.00

PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488

Exhibit C

PricewaterhouseCoopers LLP - Financial Advisor

Hourly Services and Case Administration Services - Professional Services by Project, Professional and Date

For the Period June 1, 2019 through January 31, 2020

Date	Name	Position	Description	Rate	Hours	Total Compensation
9/27/2019	Keith L Kaiser	Director	0120H011: Meeting with I. Bodenstein (Chapter 7 Trustee) to discuss distribution presentation.	\$493.00	1.00	\$493.00
9/30/2019	Keith L Kaiser	Director	0120H012: Prepare name and address key for distribution file.	\$493.00	1.00	\$493.00
10/1/2019	Keith L Kaiser	Director	0120H013: Prepare name and address key for distribution file.	\$493.00	1.00	\$493.00
10/2/2019	Keith L Kaiser	Director	0120H014: Prepare name and address key for distribution file.	\$493.00	2.00	\$986.00
10/28/2019	Russell J Monco	Director	0120H015: Preparation and review of catch up distribution (4 claims).	\$493.00	2.50	\$1,232.50
12/2/2019	Keith L Kaiser	Director	0120H016: PFG historical distribution file review.	\$493.00	2.00	\$986.00
12/3/2019	Russell J Monco	Director	0120H017: Meeting with I. Bodenstein (Chapter 7 Trustee) and K. Kaiser (PwC) to discuss distribution presentation.	\$493.00	2.00	\$986.00
12/3/2019	Keith L Kaiser	Director	0120H018: Meeting with I. Bodenstein (Chapter 7 Trustee) and R. Monco (PwC) to discuss distribution presentation.	\$493.00	2.00	\$986.00
12/4/2019	Keith L Kaiser	Director	0120H019: Distribution file assessment.	\$493.00	1.00	\$493.00
12/6/2019	Keith L Kaiser	Director	0120H020: Preperation and review of next distribution file.	\$493.00	1.00	\$493.00
12/9/2019	Keith L Kaiser	Director	0120H021: Preperation and review of next distribution file.	\$493.00	1.00	\$493.00
12/18/2019	Russell J Monco	Director	0120H022: Review and reconciliation of futures claim status report and preparation of 7th distribution.	\$493.00	8.00	\$3,944.00
12/19/2019	Russell J Monco	Director	0120H023: Review and reconciliation of futures claim status report and preparation of 7th distribution.	\$493.00	8.00	\$3,944.00
1/2/2020	Keith L Kaiser	Director	0120H024: Preperation and review of next distribution file.	\$493.00	1.00	\$493.00

**PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488**

**Exhibit C**

**PricewaterhouseCoopers LLP - Financial Advisor**

**Hourly Services and Case Administration Services - Professional Services by Project, Professional and Date**

**For the Period June 1, 2019 through January 31, 2020**

<b>Date</b>	<b>Name</b>	<b>Position</b>	<b>Description</b>	<b>Rate</b>	<b>Hours</b>	<b>Total Compensation</b>
1/2/2020	Russell J Monco	Director	0120H025: Review and reconciliation of futures claim status report and preparation of 7th distribution.	\$493.00	2.00	\$986.00
1/3/2020	Russell J Monco	Director	0120H026: Review and reconciliation of futures claim status report and preparation of 7th distribution.	\$493.00	4.00	\$1,972.00
1/3/2020	Keith L Kaiser	Director	0120H027: Preperation and review of 7th distribution file.	\$493.00	1.00	\$493.00
1/6/2020	Keith L Kaiser	Director	0120H028: Preperation and review of 7th distribution file.	\$493.00	1.00	\$493.00
1/6/2020	Russell J Monco	Director	0120H029: Review and reconciliation of futures claim status report and preparation of 7th distribution.	\$493.00	5.00	\$2,465.00
1/7/2020	Keith L Kaiser	Director	0120H030: Preperation and review of 7th distribution file.	\$493.00	1.00	\$493.00
1/13/2020	Keith L Kaiser	Director	0120H031: Meeting with I. Bodenstein (Chapter 7 Trustee) and R. Monco (PwC) to discuss distribution presentation.	\$493.00	2.00	\$986.00
1/13/2020	Russell J Monco	Director	0120H032: Meeting with I. Bodenstein (Chapter 7 Trustee) and R. Monco (PwC)to discuss distribution presentation.	\$493.00	2.00	\$986.00
1/17/2020	Russell J Monco	Director	0120H033: Preparation of revised 7th distribution analysis per discussion with Trustee.	\$493.00	1.00	\$493.00
1/18/2020	Russell J Monco	Director	0120H034: Preparation of revised 7th distribution analysis per discussion with Trustee.	\$493.00	3.00	\$1,479.00
1/18/2020	Russell J Monco	Director	0120H035: Continue preparation of revised 7th distribution analysis per discussion with Trustee.	\$493.00	2.00	\$986.00
1/20/2020	Keith L Kaiser	Director	0120H036: Preperation and review of 7th distribution file.	\$493.00	1.00	\$493.00
1/27/2020	Keith L Kaiser	Director	0120H037: Preperation and review of 7th distribution file.	\$493.00	1.00	\$493.00

PEREGRINE FINANCIAL GROUP, INC., Case No. 12-27488

Exhibit C

PricewaterhouseCoopers LLP - Financial Advisor

Hourly Services and Case Administration Services - Professional Services by Project, Professional and Date

For the Period June 1, 2019 through January 31, 2020

Date	Name	Position	Description	Rate	Hours	Total Compensation
1/27/2020	Russell J Monco	Director	0120H038: Meeting with I. Bodenstein (Chapter 7 Trustee) to discuss distribution presentation.	\$493.00	2.00	\$986.00
1/28/2020	Keith L Kaiser	Director	0120H039: Preperation and review of 7th distribution file.	\$493.00	1.00	\$493.00
Subtotal - Hours and Compensation - Tracking sources of value and related cash distributions to creditors and stakeholders					71.50	\$35,249.50
<i>Subtotal - Hours and Compensation - Restructuring Advisory Services</i>					<b>83.00</b>	<b>\$40,919.00</b>
<b>Subtotal - Hours and Compensation - Hourly Services</b>					<b>83.00</b>	<b>\$40,919.00</b>
<b>Case Administration</b>						
<i>Bankruptcy Requirements and Other Court Obligations</i>						
<u>Monthly, Interim and Final Fee Applications</u>						
6/11/2019	Nanette J Kortuem	Associate (Bankruptcy)	0120H040: Prepare the May fee statement for court submission.	\$225.00	2.00	\$450.00
6/17/2019	Andrea Clark Smith	Director (Bankruptcy)	0120H041: Review May fee statement for court submission.	\$550.00	1.00	\$550.00
6/18/2019	Andrea Clark Smith	Director (Bankruptcy)	0120H042: Review May fee statement for court submission.	\$550.00	0.60	\$330.00
6/20/2019	Andrea Clark Smith	Director (Bankruptcy)	0120H043: Finalize/distribute May fee statement.	\$550.00	0.40	\$220.00
Subtotal - Hours and Compensation - Monthly, Interim and Final Fee Applications					4.00	\$1,550.00
<i>Subtotal - Hours and Compensation - Bankruptcy Requirements and Other Court Obligations</i>					<b>4.00</b>	<b>\$1,550.00</b>
<b>Subtotal - Hours and Compensation - Case Administration</b>					<b>4.00</b>	<b>\$1,550.00</b>
<b>Total - Hours and Compensation - Hourly Services and Case Administration</b>					<b>87.00</b>	<b>\$42,469.00</b>

UNITED STATES BANKRUPTCY COURT  
NORTHERN DISTRICT OF ILLINOIS  
Eastern Division

In Re: ) Case Number: 12-27488  
)  
PEREGRINE FINANCIAL GROUP, INC. ) Chapter: 7  
) Honorable Carol A. Doyle  
)  
)  
Debtor(s) )

**ORDER GRANTING TENTH INTERIM FEE APPLICATION OF THE TRUSTEE'S  
FINANCIAL ADVISOR FOR INTERIM COMPENSATION AND EXPENSES FOR THE  
PERIOD OF  
JANUARY 1, 2018 THROUGH JANUARY 31, 2020**

Upon consideration of the Tenth Interim Fee Application of the Trustee's Financial Advisor for Interim Compensation and Expenses for the Period of January 1, 2018 through January 31, 2020 (the "Tenth Application"), filed by PricewaterhouseCoopers LLP ("PwC"); due and proper notice of the Application having been given to all parties entitled thereto; the Court having jurisdiction over the subject matter of and the parties to the Tenth Application; the Court having heard from all interested parties, the Court having reviewed the Application, and the Court being fully advised in the premises, IT IS HEREBY ORDERED that:

1. PwC is allowed compensation for its Services in the amount of \$154,064.68 (the "Tenth Interim Fee Award");
2. PwC allowed reimbursement of \$55.28 in expenses (the "Expenses"); and
3. The Trustee is authorized and directed to pay PwC the outstanding amount due of \$30,812.94.

Enter:

Honorable Carol A. Doyle  
United States Bankruptcy Judge

Dated:

**Prepared by:**  
Christina M. Sanfelippo  
Fox Rothschild LLP  
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